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(54) Title: THERAPEUTIC METHODS USING SMADS



at a target locus in a mammal comprising the step of administering to the target locus a Smad are also provided.

(57) Abstract: Methods of inducing the expression of a Smad in a cell or tissue comprising the step of contacting the cell or tissue capable of expressing the Smad with a bone morphogenic protein are provided. Methods of inducing tissue formation and repairing a tissue defect or regenerating tissue,



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## Therapeutic Methods Using Smads

### Technical Field of the Invention

[0001] The present invention relates to methods for tissue formation, repair and regeneration using Smads.

### 5 Background of the Invention

[0002] The TGF- $\beta$  superfamily represents a large number of evolutionarily conserved proteins with diverse activities involved in growth, differentiation, cell migration, development, apoptosis and tissue morphogenesis and repair. This large family includes the bone morphogenic proteins (BMPs), TGF- $\beta$ s and activins. Each subgroup of proteins initiates a unique signaling cascade activated by the formation of a complex with a receptor. The receptors for this family of proteins are type I also known as activin receptor-like kinases (ALKs) and type II serine/threonine kinases. Several such receptors have been identified thus far. The type II receptors are constitutively active. Upon ligand binding the type II receptor phosphorylates particular serine and threonine residues in the type I receptor. The type I serine/threonine

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15  
20

kinases become activated and transduce signals to downstream molecules.

[0003] The downstream molecules in the signaling cascade include the Smads. These molecules are vertebrate counterparts to the *Drosophila* and *Caenorhabditis elegans*, proteins known as Mad (*mothers against dpp*) and Sma, respectively. The name Smad originates from a fusion between Mad and Sma. In recent years, several Smads have been identified (Smad 1-8) (Derynck R. et al., 1996, "Nomenclature: Vertebrate mediators of TGF- $\beta$  family signals", Cell, 18, 173). The Smads can be divided into three groups: receptor-regulated Smads (R-Smads), common-partner Smads (Co-Smads) and inhibitory Smads (I-Smads). R-Smads are bound to the cell membrane through membrane bound proteins. They transiently interact with and are activated by phosphorylation by activated type I receptor kinases. R-Smads include Smad1, Smad2, Smad3, Smad5 and Smad8. Of those, Smad2 and Smad3 are TGF- $\beta$ - and activin-specific, whereas Smad1, Smad5 and Smad8 are BMP-specific. The activated R-Smads recruit Co-Smads and these heteromeric complexes translocate to the nucleus. Co-Smads include Smad4. These nuclear Smad complexes bind to DNA directly or indirectly through other DNA-binding proteins, and regulate the transcription of target genes. I-Smads interact with the activated type I receptor, and prevent R-Smads from interacting with activated type I receptors. I-Smads include Smad6 and Smad7.

[0004] As described above, the TGF- $\beta$  superfamily of proteins have important roles in various physiological events including the inductive properties of the proteins belonging to the BMP family. Therefore, there

remains a need for identifying means useful for promoting tissue regeneration in patients with traumas caused, for example, by injuries or degenerative disorders.

5    [0005]     The ability to induce Smad protein expression in sufficient quantities at a target locus would be very useful in orthopedic medicine, certain types of plastic surgery, dental and various periodontal and craniofacial reconstructive procedures, and procedures generally  
10 involving bone, cartilage, tendon, ligament and neural regeneration. Several Smad genes are now cloned and may be recombinantly expressed in a variety of host systems. The ability to recombinantly produce active Smads, including variants and fragments thereof, and to express  
15 them at a target locus makes potential therapeutic treatments using these proteins either alone or together with BMPs feasible.

#### Summary of the Invention

[0006]     This invention is based on the discovery that  
20 Smad expression is induced in the presence of various bone morphogenic proteins such as OP-1 (BMP-7) and CDMPs. Therefore, this invention provides a method of inducing the expression of a Smad in a cell or tissue comprising the step of contacting the cell or tissue  
25 capable of expressing a Smad with a bone morphogenic protein (BMP). In some embodiments, the tissue is selected from the group consisting of bone, cartilage, tendon, ligament and neural tissue. In one preferred embodiment, the tissue is bone or cartilage. In another  
30 preferred embodiment, the tissue is tendon or ligament. In a more preferred embodiment, the tissue is bone. In



another more preferred embodiment, the tissue is cartilage.

[0007] In some embodiments, the cells used in the methods of this invention are progenitor cells. In some  
5       embodiments, the progenitor cells include an osteoprogenitor cell, a cartilage progenitor cell, a ligament progenitor cell, a tendon progenitor cell, or a neural progenitor cell. In a preferred embodiment, the progenitor cell is an osteoprogenitor cell or a  
10       cartilage progenitor cell. In another preferred embodiment, the progenitor cell is a tendon progenitor cell or a ligament progenitor cell. In a more preferred embodiment, the progenitor cell is an osteoprogenitor cell. In another more preferred embodiment, the  
15       progenitor cell is a cartilage progenitor cell.

[0008] In some embodiments, the cell or tissue is contacted with more than one BMP. In some embodiments, the cell or tissue is contacted with two BMPs. BMPs include, but are not limited to, OP-1 (BMP-7), OP-2, OP-  
20       3, COP-1, COP-3, COP-4, COP-5, COP-7, COP-16, BMP-2, BMP-3, BMP-3b, BMP-4, BMP-5, BMP-6, BMP-9, BMP-10, BMP-11, CDMP-3 (BMP-12), CDMP-2 (BMP-13), CDMP-1 (BMP-14), BMP-15, BMP-16, BMP-17, BMP-18, GDF-1, GDF-2, GDF-3, GDF-5, GDF-6, GDF-7, GDF-8, GDF-9, GDF-10, GDF-11, GDF-  
25       12, MP121, dorsalin-1, DPP, Vg-1, Vgr-1, 60A protein, NODAL, UNIVIN, SCREW, ADMP, and NEURAL. In one preferred embodiment, the BMP is OP-1 (BMP-7). In another preferred embodiment, the BMP is CDMP-1 or GDF-5. In yet another preferred embodiment, the first bone  
30       morphogenic protein is OP-1 and the second bone morphogenic protein is CDMP-1 or GDF-5.

[0009] In some embodiments, the Smads used in the methods of the present invention include Smad1, Smad2,

Smad3, Smad5 and Smad8. In a preferred embodiment, the Smad is Smad5. In another preferred embodiment, the Smad is a recombinant Smad.

[0010] In some embodiments, the cell or tissue used  
5 in the method of inducing the expression of a Smad in a cell or tissue is further capable of expressing a serine/threonine kinase receptor. In some embodiments the serine/threonine kinase receptor is selected from the group consisting of type I and type II receptors.  
10 In some embodiments, only type I receptors are used. In some embodiments, only type II receptors are used. In some embodiments, both type I and type II receptors are used. Preferably, the type I and type II receptors are recombinant. In some embodiments the serine/threonine  
15 kinase receptors are linked to an expression control sequence. In some embodiments, the expression control sequence comprises a constitutive promoter. In some embodiments, the expression control sequence comprises an inducible promoter. In some embodiments, the type I  
20 receptor is an activin receptor-like kinase (ALK). ALKs include but are not limited to ALK-1, ALK-2, ALK-3, ALK-4, ALK-5, ALK-6, ALK-7 and fragments thereof.

[0011] The invention also provides gene therapy  
methods of inducing tissue formation, repairing a tissue  
25 defect or regenerating tissue at a target locus. In some embodiments, the invention provides a method of inducing tissue formation at a target locus in a mammal comprising the step of administering to the target locus a nucleic acid encoding a Smad. In some embodiments,  
30 the invention provides a method of inducing tissue formation at a target locus in a mammal comprising the step of administering to the target locus a vector comprising a nucleic acid encoding a Smad operably

linked to an expression control sequence. In some embodiments, the invention provides a method of inducing tissue formation at a target locus in a mammal comprising the step of administering to the target locus  
5 a cell comprising a vector comprising a nucleic acid encoding a Smad operably linked to an expression control sequence.

[0012] The invention further provides a method of repairing a tissue defect or regenerating tissue at a  
10 target locus in a mammal comprising the step of administering to the target locus a nucleic acid encoding a Smad. In some embodiments, the invention provides a method of repairing a tissue defect or regenerating tissue at a target locus in a mammal  
15 comprising the step of administering to the target locus a vector comprising a nucleic acid encoding a Smad operably linked to an expression control sequence. In some embodiments, the invention provides a method of repairing a tissue defect or regenerating tissue at a  
20 target locus in a mammal comprising the step of administering to the target locus a cell comprising a vector comprising a nucleic acid encoding a Smad operably linked to an expression control sequence.

[0013] In some embodiments, the tissue in the gene  
25 therapy methods of the present invention is selected from the group consisting of bone, cartilage, tendon, ligament and neural tissue. In one preferred embodiment, the tissue is bone or cartilage. In another preferred embodiment, the tissue is tendon or ligament.  
30 In a more preferred embodiment, the tissue is bone. In another more preferred embodiment, the tissue is cartilage.

[0014] In some embodiments, the cells used in the gene therapy methods of this invention are progenitor cells. In some embodiments, the progenitor cells include an osteoprogenitor cell, a cartilage progenitor cell, a ligament progenitor cell, a tendon progenitor cell, or a neural progenitor cell. In a preferred embodiment, the progenitor cell is an osteoprogenitor cell or a cartilage progenitor cell. In another preferred embodiment, the progenitor cell is a tendon progenitor cell or a ligament progenitor cell. In a more preferred embodiment, the progenitor cell is an osteoprogenitor cell. In another more preferred embodiment, the progenitor cell is a cartilage progenitor cell.

[0015] In some embodiments, the expression control sequence operably linked to the nucleic acid encoding a Smad comprises a constitutive promoter. In other embodiments, the expression control sequence operably linked to the nucleic acid encoding a Smad comprises an inducible promoter. A Smad according to this invention includes, but is not limited to, Smad1, Smad2, Smad3, Smad5, Smad8 and fragments thereof. In a preferred embodiment, the Smad is Smad5. In another preferred embodiment, the Smad is a recombinant Smad.

[0016] In some embodiments, the methods of inducing tissue formation, repairing a tissue defect or regeneration tissue of this invention further comprise the step of administering to the target locus a serine/threonine kinase receptor. In some embodiments, a nucleic acid encoding a serine/threonine kinase receptor is administered. In some embodiments, a vector comprising a nucleic acid encoding a serine/threonine kinase receptor operably linked to an expression control

sequence is administered. In other embodiments, a cell comprising a vector comprising a nucleic acid encoding a serine/threonine kinase receptor operably linked to an expression control sequence is administered.

5 [0017] In some embodiments, the expression control sequence operably linked to the a serine/threonine kinase receptor comprises a constitutive promoter. In some embodiments, the expression control sequence operably linked to the serine/threonine kinase receptor  
10 comprises an inducible promoter.

[0018] In some embodiments, the serine/threonine kinase receptor used in the gene therapy methods of inducing tissue formation, repairing a tissue defect or regenerating tissue at a target locus, is selected from  
15 the group consisting of type I and type II receptors. In some embodiments, only type I receptors are used. In some embodiments, only type II receptors are used. In some embodiments both type I and type II receptors are used. Preferably recombinant type I and recombinant  
20 type II receptors are used. In some embodiments, the type I receptor is an activin receptor-like kinase (ALK). The ALKs that may be used in the present invention include, but are not limited to ALK-1, ALK-2, ALK-3, ALK-4, ALK-5, ALK-6, ALK-7, and fragments  
25 thereof. In a preferred embodiment, the ALK is ALK-2, ALK-3, ALK-6 and fragments thereof.

[0019] In some embodiments, the methods of inducing tissue formation, repairing a tissue defect or regeneration tissue of this invention further comprise  
30 the step of administering to the target locus a bone morphogenic protein. In some embodiments, the bone morphogenic protein is administered as a nucleic acid. In some embodiments, the bone morphogenic protein is

administered as a vector comprising a nucleic acid encoding the bone morphogenic protein operably linked to an expression control sequence. In some embodiments, the bone morphogenic protein is administered as a cell  
5 comprising a vector comprising a nucleic acid encoding a bone morphogenic protein operably linked to an expression control sequence. In some embodiments, the expression control sequence linked to the BMP nucleic acid comprises a constitutive promoter. In some  
10 embodiments, the expression control sequence linked to the BMP nucleic acid comprises an inducible promoter.

[0020] The bone morphogenic protein according to this invention includes, but is not limited to, OP-1 (BMP-7), OP-2, OP-3, COP-1, COP-3, COP-4, COP-5, COP-7, COP-16,  
15 BMP-2, BMP-3, BMP-3b, BMP-4, BMP-5, BMP-6, BMP-9, BMP-10, BMP-11, CDMP-3 (BMP-12), CDMP-2 (BMP-13), CDMP-1 (BMP-14), BMP-15, BMP-16, BMP-17, BMP-18, GDF-1, GDF-2, GDF-3, GDF-5, GDF-6, GDF-7, GDF-8, GDF-9, GDF-10, GDF-11, GDF-12, MP121, dorsalin-1, DPP, Vg-1, Vgr-1, 60A  
20 protein, NODAL, UNIVIN, SCREW, ADMP, NEURAL, and fragments thereof. In some embodiments, one BMP is administered. In some embodiments, more than one BMP is administered. In some embodiments, two BMPs are administered. In some embodiments, the first BMP is OP-  
25 1 and the second BMP is CDMP-1 or GDF-5. In a preferred embodiment, the BMP is OP-1. In another preferred embodiment, the BMP is CDMP-1 or GDF-5.

#### Brief Description of the Drawings

[0021] Figure 1 is a Western blot analysis for Smad5  
30 protein in C2C12 cells following treatment with OP-1 and CDMP-1. Lane 1 is the Smad5 levels in control C2C12 cells. Lane 2 is the Smad5 levels in CDMP-1 treated

(200 ng/ml) C2C12 cells. Lane 3 is the Smad5 levels in OP-1 treated (100 ng/ml) C2C12 cells. Lane 4 is the Smad5 levels in cells treated with both OP-1 (100 ng/ml) and CDMP-1 (200 ng/ml).

- 5 [0022] Figure 2 is a plasmid map of pW24 containing the OP-1 gene.

#### Detailed Description of the Invention

[0023] In order that the invention herein described may be fully understood, the following detailed  
10 description is set forth.

[0024] Unless defined otherwise, all technical and scientific terms used herein have the same meaning as those commonly understood by one of ordinary skill in the art to which this invention belongs. Although  
15 methods and materials similar or equivalent to those described herein can be used in the practice or testing of the present invention, suitable methods and materials are described below. The materials, methods and examples are illustrative only, and are not intended to  
20 be limiting. All publications, patents and other documents mentioned herein are incorporated by reference in their entirety.

[0025] Throughout this specification, the word "comprise" or variations such as "comprises" or  
25 "comprising" will be understood to imply the inclusion of a stated integer or groups of integers but not the exclusion of any other integer or group of integers.

[0026] In order to further define the invention, the following terms and definitions are provided herein.

30 [0027] The term "defect" or "defect site," refers to a disruption of the specified tissue. A defect can assume the configuration of a "void", which is

understood to mean a three-dimensional defect such as, for example, a gap, cavity, hole or other substantial disruption in the structural integrity of the tissue (e.g., bone, chondral, osteochondral, neural, ligament, tendon). Moreover, a defect can also be a detachment of the tendon or ligament from its point of attachment to bone, cartilage or muscle. In certain embodiments, the defect is such that it is incapable of endogenous or spontaneous repair. A defect can be the result of accident, disease, and/or surgical manipulation.

[0028] The term "target locus" refers to the site (e.g., a defect) in any tissue that is in need of repair or regeneration. The target locus need not be a defect site. It may be any site where bone, cartilage, tendon, ligament or neural tissue regeneration is desired.

[0029] The term "repair" refers to new tissue formation which is sufficient to at least partially fill the void or structural discontinuity at the defect site. Repair does not, however, mean, or otherwise necessitate, a process of complete healing or a treatment, which is 100% effective at restoring a defect to its pre-defect physiological/structural/mechanical state.

[0030] The term "therapeutically effective amount" refers to an amount effective to repair, regenerate, promote, accelerate, prevent degradation, or form tissue.

[0031] The term "patient" refers to an animal, including a mammal (e.g., a human).

[0032] The term "bone morphogenic protein (BMP)" refers to a protein belonging to the BMP family of the TGF- $\beta$  superfamily of proteins (BMP family) based on DNA and amino acid sequence homology. A protein belongs to



the BMP family according to this invention when it has at least 50% amino acid sequence identity with at least one known BMP family member within the conserved C-terminal cysteine-rich domain, which characterizes the BMP protein family. Preferably, the protein has at least 70% amino acid sequence identity with at least one known BMP family member within the conserved C-terminal cysteine rich domain. Members of the BMP family may have less than 50% DNA or amino acid sequence identity overall. Bone morphogenic proteins may be monomeric, homo- or hetero-dimeric. Bone morphogenic proteins include osteogenic proteins.

[0033] Bone morphogenic proteins are capable of inducing progenitor cells to proliferate and/or to initiate differentiation pathways that lead to cartilage, bone, tendon, ligament or other types of tissue formation depending on local environmental cues, and thus bone morphogenic proteins may behave differently in different surroundings. For example, a bone morphogenic protein may induce bone tissue at one treatment site and cartilage tissue at a different treatment site. Bone morphogenic proteins include full length proteins as well as fragments thereof.

[0034] The term "osteogenic protein (OP)" refers to a bone morphogenic protein that is capable of inducing a progenitor cell to form cartilage and/or bone. The bone may be intramembranous bone or endochondral bone. Most osteogenic proteins are members of the BMP protein family and are thus also BMPs. As described elsewhere herein, the class of proteins is typified by human osteogenic protein (hOP-1). Other osteogenic proteins useful in the practice of the invention include but are not limited to, osteogenically active forms of OP-1, OP-

2, OP-3, COP-1, COP-3, COP-4, COP-5, COP-7, COP-16, BMP-2, BMP-3, BMP-3b, BMP-4, BMP-5, BMP-6, BMP-9, BMP-10, BMP-11, CDMP-3 (BMP-12), CDMP-2 (BMP-13), CDMP-1 (BMP-14), BMP-15, BMP-16, BMP-17, BMP-18, GDF-1, GDF-2, GDF-3, GDF-5, GDF-6, GDF-7, GDF-8, GDF-9, GDF-10, GDF-11, GDF-12, MP121, dorsalin-1, DPP, Vg-1, Vgr-1, 60A protein, NODAL, UNIVIN, SCREW, ADMP, NEURAL, conservative amino acid sequence variants thereof having osteogenic activity and fragments thereof. In one currently preferred embodiment, osteogenic protein includes any one of: OP-1, CDMP-1, CDMP-2, CDMP-3, GDF-5, GDF-6, GDF-7, amino acid sequence variants and homologs thereof, including species homologs thereof and fragments thereof. Particularly preferred osteogenic proteins are those comprising an amino acid sequence having at least 70% homology with the C-terminal 102-106 amino acids, defining the conserved seven cysteine domain, of, e.g., human OP-1. Certain preferred embodiments of the instant invention comprise the osteogenic protein, OP-1. As further described elsewhere herein, the osteogenic proteins suitable for use with this invention can be identified by means of routine experimentation using the art-recognized bioassay described by Reddi and Sampath (Sampath et al., *Proc. Natl. Acad. Sci.*, 84, pp. 7109-13, incorporated herein by reference).

[0035] Proteins useful in this invention include eukaryotic proteins identified as osteogenic proteins (see U.S. Patent 5,011,691, incorporated herein by reference), such as the OP-1, OP-2, OP-3 and CBMP-2 proteins, as well as amino acid sequence-related proteins, such as DPP (from *Drosophila*), Vg1 (from *Xenopus*), Vgr-1 (from mouse), GDF-1 (from humans, see

Lee, *PNAS*, 88, pp. 4250-4254 (1991)), 60A (from  
Drosophila, see Wharton et al., *PNAS*, 88, pp. 9214-9218  
(1991)), dorsalin-1 (from chick, see Basler et al.,  
*Cell*, 73, pp. 687-702 (1993) and GenBank accession  
5 number L12032) and GDF-5 (from mouse, see Storm et al.,  
*Nature*, 368, pp. 639-643 (1994)). The teachings of the  
above references are incorporated herein by reference.  
BMP-3 is also preferred. Additional useful proteins  
include biosynthetic morphogenic constructs disclosed in  
10 U.S. Pat. No. 5,011,691, incorporated herein by  
reference, e.g., COP-1, COP-3, COP-4, COP-5, COP-7 and  
COP-16, as well as other proteins known in the art.  
Still other proteins include osteogenically active forms  
of BMP-3b (see Takao, et al., *Biochem. Biophys. Res.*  
15 *Comm.*, 219, pp. 656-662 (1996)). BMP-9 (see WO  
95/33830), BMP-15 (see WO 96/35710), BMP-12 (see WO  
95/16035), CDMP-1 (see WO 94/12814), CDMP-2 (see WO  
94/12814), BMP-10 (see WO 94/26893), GDF-1 (see WO  
92/00382), GDF-10 (see WO95/10539), GDF-3 (see WO  
20 94/15965) and GDF-7 (see WO95/01802). The teachings of  
the above references are incorporated herein by  
reference. BMPs (identified by sequence homology) must  
have demonstrable osteogenic activity in a functional  
bioassay to be osteogenic proteins according to this  
25 invention.

[0036] The term "amino acid sequence homology" is  
understood to include both amino acid sequence identity  
and similarity. Homologous sequences share identical  
and/or similar amino acid residues, where similar  
30 residues are conservative substitutions for, or "allowed  
point mutations" of, corresponding amino acid residues  
in an aligned reference sequence. Thus, a candidate  
polypeptide sequence that shares 70% amino acid homology

with a reference sequence is one in which any 70% of the aligned residues are either identical to, or are conservative substitutions of, the corresponding residues in a reference sequence. Certain particularly preferred bone morphogenic polypeptides share at least 60%, and preferably 70% amino acid sequence identity with the C-terminal 102-106 amino acids, defining the conserved seven-cysteine domain of human OP-1 and related proteins.

10    **[0037]**     Amino acid sequence homology can be determined by methods well known in the art. For instance, to determine the percent homology of a candidate amino acid sequence to the sequence of the seven-cysteine domain, the two sequences are first aligned. The alignment can  
15    be made with, e.g., the dynamic programming algorithm described in Needleman et al., J. Mol. Biol., 48, pp. 443 (1970), and the Align Program, a commercial software package produced by DNASTar, Inc. The teachings by both sources are incorporated by reference  
20    herein. An initial alignment can be refined by comparison to a multi-sequence alignment of a family of related proteins. Once the alignment is made and refined, a percent homology score is calculated. The aligned amino acid residues of the two sequences are  
25    compared sequentially for their similarity to each other. Similarity factors include similar size, shape and electrical charge. One particularly preferred method of determining amino acid similarities is the PAM250 matrix described in Dayhoff et al., Atlas of  
30    Protein Sequence and Structure, 5, pp. 345-352 (1978 & Supp.), which is incorporated herein by reference. A similarity score is first calculated as the sum of the aligned pair wise amino acid similarity scores.

Insertions and deletions are ignored for the purposes of percent homology and identity. Accordingly, gap penalties are not used in this calculation. The raw score is then normalized by dividing it by the geometric mean of the scores of the candidate sequence and the seven-cysteine domain. The geometric mean is the square root of the product of these scores. The normalized raw score is the percent homology.

[0038] The term "conservative substitutions" refers to residues that are physically or functionally similar to the corresponding reference residues. That is, a conservative substitution and its reference residue have similar size, shape, electric charge, chemical properties including the ability to form covalent or hydrogen bonds, or the like. Preferred conservative substitutions are those fulfilling the criteria defined for an accepted point mutation in Dayhoff *et al.*, *supra*. Examples of conservative substitutions are substitutions within the following groups: (a) valine, glycine; (b) glycine, alanine; (c) valine, isoleucine, leucine; (d) aspartic acid, glutamic acid; (e) asparagine, glutamine; (f) serine, threonine; (g) lysine, arginine, methionine; and (h) phenylalanine, tyrosine. The term "conservative variant" or "conservative variation" also includes the use of a substituting amino acid residue in place of an amino acid residue in a given parent amino acid sequence, where antibodies specific for the parent sequence are also specific for, i.e., "cross-react" or "immuno-react" with, the resulting substituted polypeptide sequence.

[0039] The term "fragment thereof" or "fragment" refers to a stretch of at least about 5 amino acid residues. In some embodiments, this term refers to a

stretch of at least about 10 amino acid residues. In other embodiments, it refers to a stretch of at least about 15 to 20 amino acid residues. The fragments may be naturally derived or synthetically generated. To be  
5 active, any fragment must have sufficient length to display biological activity.

#### Methods Using Smads

[0040] The present invention provides a method of inducing the expression of a Smad in a cell or tissue  
10 comprising the step of contacting the cell or tissue capable of expressing the Smad with a bone morphogenic protein.

[0041] This invention also provides gene therapy methods for inducing tissue formation at a target locus, repairing a tissue defect or regenerating tissue at a  
15 target locus using Smads. In some embodiments, the methods comprise the step of administering to the target locus a nucleic acid encoding a Smad. In some embodiments, the methods comprise the step of  
20 administering to the target locus a vector comprising a nucleic acid encoding a Smad operably linked to an expression control sequence. In some embodiments, the methods comprise the step of administering a cell comprising a vector comprising a nucleic acid encoding a  
25 Smad operably linked to an expression control sequence. In some embodiments, the expression control sequence operably linked to a Smad nucleic acid comprises an inducible promoter. In some embodiments, the expression control sequence operably linked to a Smad nucleic acid  
30 comprises a constitutive promoter.

[0042] A Smad according to the present invention is a R-Smad. R-Smads includes, but are not limited to,

Smad1, Smad2, Smad3, Smad5, Smad8 or fragments thereof. In a preferred embodiment, the Smad is Smad5. In another embodiment the Smad is recombinant.

[0043] In some embodiments, the gene therapy methods further comprise the step of administering to the target locus a pharmaceutically effective amount of a BMP. In some embodiments, the methods further comprise the step of administering a nucleic acid encoding a BMP. In some embodiments, the methods further comprise the step of administering a vector comprising a nucleic acid encoding a BMP operably linked to an expression control sequence. In some embodiments, the methods further comprise the step of administering a cell comprising a vector comprising a nucleic acid encoding a BMP operably linked to an expression control sequence. In some embodiments, the expression control sequence operably linked to a BMP nucleic acid comprises an inducible promoter. In some embodiments, the expression control sequence operably linked to a BMP nucleic acid comprises a constitutive promoter.

[0044] The BMPs according to the invention include but are not limited to, OP-1 (BMP-7), OP-2, OP-3, COP-1, COP-3, COP-4, COP-5, COP-7, COP-16, BMP-2, BMP-3, BMP-3b, BMP-4, BMP-5, BMP-6, BMP-9, BMP-10, BMP-11, CDMP-3 (BMP-12), CDMP-2 (BMP-13), CDMP-1 (BMP-14), BMP-15, BMP-16, BMP-17, BMP-18, GDF-1, GDF-2, GDF-3, GDF-5, GDF-6, GDF-7, GDF-8, GDF-9, GDF-10, GDF-11, GDF-12, MP121, dorsalin-1, DPP, Vg-1, Vgr-1, 60A protein, NODAL, UNIVIN, SCREW, ADMP, and NEURAL. (see *infra*, for discussion of BMPs). In a preferred embodiment, the BMP is OP-1 (BMP-7). In another preferred embodiment, the BMP is CDMP-1 or GDF-5.

[0045] In some embodiments, more than one BMP is administered. In one preferred embodiment, two BMPs are administered. In yet another preferred embodiment, the first BMP is OP-1 and the second BMP is CDMP-1 or GDF-5.  
5 In another embodiment three BMPs are administered. In some embodiments, the BMP is recombinant.

[0046] In some embodiments, the gene therapy methods of this invention further comprise the step of administering a serine/threonine kinase receptor. In  
10 some embodiments, the methods of this invention further comprise the step of administering to the target locus a nucleic acid encoding a serine/threonine kinase receptor. In some embodiments, the methods of this invention further comprise the step of administering to  
15 the target locus a vector comprising a nucleic acid encoding a serine/threonine kinase receptor operably linked to an expression control sequence. In some embodiments, the methods of this invention further comprise the step of administering to the target locus a cell comprising a vector comprising a nucleic acid  
20 encoding a serine/threonine kinase receptor operably linked to an expression control sequence. In some embodiments, the serine/threonine kinase receptor is selected from the group consisting of type I and type II receptors. In some embodiments, only a type I receptor  
25 is used. In some embodiments, only a type II receptors is used. In some embodiments both type I and type II receptors are used. Preferably the type I and type II receptors are recombinant. In some embodiments, the  
30 expression control sequence operably linked to a serine/threonine kinase receptor nucleic acid comprises an inducible promoter. In some embodiments, the expression control sequence operably linked to a



serine/threonine kinase receptor nucleic acid comprises a constitutive promoter.

[0047] In some embodiments, the type I receptor is an activin receptor-like kinase (ALK). The ALKs according to this invention include, but are not limited to ALK-1, 5 ALK-2, ALK-3, ALK-4, ALK-5, ALK-6, ALK-7, and fragments thereof. Preferred ALKs are ALK-2, ALK-3 and ALK-6.

#### Bone Morphogenic Protein (BMP) Family

[0048] The BMP family, named for its representative 10 bone morphogenic/osteogenic protein family members, belongs to the TGF- $\beta$  protein superfamily. Of the reported "BMPs" (BMP-1 to BMP-18), isolated primarily based on sequence homology, all but BMP-1 remain classified as members of the BMP family of morphogenic 15 proteins (Ozkaynak et al., *EMBO J.*, 9, pp. 2085-93 (1990)).

[0049] The BMP family includes other structurally-related members which are bone morphogenic proteins, including the *drosophila* decapentaplegic gene complex 20 (DPP) products, the Vg1 product of *Xenopus laevis* and its murine homolog, Vgr-1 (see, e.g., Massagué, *Annu. Rev. Cell Biol.*, 6, pp. 597-641 (1990), incorporated herein by reference).

[0050] The *Drosophila* DPP and *Xenopus* Vg-1 gene 25 products are 50% identical to each other (and 35-40% identical to TGF- $\beta$ ). Both the Dpp and Vg-1 products are morphogenic proteins that participate in early patterning events during embryogenesis of their respective hosts. These products appear to be most 30 closely related to mammalian bone morphogenetic proteins BMP-2 and BMP-4, whose C-terminal domains are 75% identical with that of Dpp.

[0051] The C-terminal domains of BMP-3, BMP-5, BMP-6, and OP-1 (BMP-7) are about 60% identical to that of BMP-2, and the C-terminal domains of BMP-6 and OP-1 are 87% identical. BMP-6 is likely the human homolog of the murine Vgr-1 (Lyons *et al.*, *Proc. Natl. Acad. Sci. U.S.A.*, 86, pp. 4554-59 (1989)); the two proteins are 92% identical overall at the amino acid sequence level (U.S. Patent No. 5,459,047, incorporated herein by reference). BMP-6 is 58% identical to the *Xenopus* Vg-1 product.

[0052] The naturally occurring bone morphogenic proteins share substantial amino acid sequence homology in their C-terminal regions (domains). Typically, the above-mentioned naturally occurring osteogenic proteins are translated as a precursor, having an N-terminal signal peptide sequence typically less than about 30 residues, followed by a "pro" domain that is cleaved to yield the mature C-terminal domain of approximately 100-140 amino acids. The signal peptide is cleaved rapidly upon translation, at a cleavage site that can be predicted in a given sequence using the method of Von Heijne, *Nucleic Acids Research*, 14, pp. 4683-4691 (1986). The pro domain typically is about three times larger than the fully processed mature C-terminal domain.

[0053] Another characteristic of the BMP protein family members is their ability to dimerize. Several bone-derived osteogenic proteins (OPs) and BMPs are found as homo- and heterodimers in their active forms. The ability of OPs and BMPs to form heterodimers may confer additional or altered morphogenic inductive capabilities on bone morphogenic proteins. Heterodimers may exhibit qualitatively or quantitatively different

binding affinities than homodimers for OP and BMP receptor molecules. Altered binding affinities may in turn lead to differential activation of receptors that mediate different signaling pathways, which may ultimately lead to different biological activities or outcomes. Altered binding affinities could also be manifested in a tissue or cell type-specific manner, thereby inducing only particular progenitor cell types to undergo proliferation and/or differentiation.

10    **[0054]**     In one preferred embodiment of this invention, the BMPs independently comprise a pair of subunits disulfide bonded to produce a dimeric species, wherein at least one of the subunits comprises a recombinant peptide belonging to the BMP protein family. In another preferred embodiment of this invention, the BMPs independently comprise a pair of subunits that produce a dimeric species formed through non-covalent interactions, wherein at least one of the subunits comprises a recombinant peptide belonging to the BMP protein family. Non-covalent interactions include Van der Waals, hydrogen bond, hydrophobic and electrostatic interactions. The dimeric species may be a homodimer or heterodimer and is capable of inducing cell proliferation and/or tissue formation. In some embodiments, the BMPs are each independently monomers.

25    **[0055]**     In preferred embodiments, the pair of morphogenic polypeptides have amino acid sequences each comprising a sequence that shares a defined relationship with an amino acid sequence of a reference morphogen. Herein, preferred osteogenic polypeptides share a defined relationship with a sequence present in osteogenically active human OP-1, SEQ ID NO: 1. However, any one or more of the naturally occurring or

biosynthetic sequences disclosed herein similarly could be used as a reference sequence. Preferred osteogenic polypeptides share a defined relationship with at least the C-terminal six cysteine domain of human OP-1, residues 335-431 of SEQ ID NO: 1. Preferably, osteogenic polypeptides share a defined relationship with at least the C-terminal seven cysteine domain of human OP-1, residues 330-431 of SEQ ID NO: 1. That is, preferred polypeptides in a dimeric protein with bone morphogenic activity each comprise a sequence that corresponds to a reference sequence or is functionally equivalent thereto.

[0056] Functionally equivalent sequences include functionally equivalent arrangements of cysteine residues disposed within the reference sequence, including amino acid insertions or deletions which alter the linear arrangement of these cysteines, but do not materially impair their relationship in the folded structure of the dimeric morphogen protein, including their ability to form such intra- or inter-chain disulfide bonds as may be necessary for morphogenic activity. Functionally equivalent sequences further include those wherein one or more amino acid residues differs from the corresponding residue of a reference sequence, e.g., the C-terminal seven cysteine domain (also referred to herein as the conserved seven cysteine skeleton) of human OP-1, provided that this difference does not destroy bone morphogenic activity. Accordingly, conservative substitutions of corresponding amino acids in the reference sequence are preferred. Particularly preferred conservative substitutions are those fulfilling the criteria defined for an accepted

point mutation in Dayhoff *et al.*, *supra*, the teachings of which are incorporated by reference herein.

[0057] The osteogenic protein OP-1 has been described (see, *e.g.*, Oppermann *et al.*, U. S. Patent No. 5,354,557, incorporated herein by reference). Natural-sourced osteogenic protein in its mature, native form is a glycosylated dimer typically having an apparent molecular weight of about 30-36 kDa as determined by SDS-PAGE. When reduced, the 30 kDa protein gives rise to two glycosylated peptide subunits having apparent molecular weights of about 16 kDa and 18 kDa. The unglycosylated protein, which also has osteogenic activity, has an apparent molecular weight of about 27 kDa. When reduced, the 27 kDa protein gives rise to two unglycosylated polypeptides, having molecular weights of about 14 kDa to 16 kDa, capable of inducing endochondral bone formation in a mammal. Osteogenic proteins may include forms having varying glycosylation patterns, varying N-termini, and active truncated or mutated forms of native protein.

[0058] As described above, particularly useful sequences include those comprising the C-terminal 96 or 102 amino acid sequences of DPP (from *Drosophila*), Vg1 (from *Xenopus*), Vgr-1 (from mouse), the OP-1 and OP-2 proteins, (see U.S. Pat. No. 5,011,691 and Oppermann *et al.*, incorporated herein by reference), as well as the proteins referred to as BMP-2, BMP-3, BMP-4 (see WO 88/00205, U.S. Patent No. 5,013,649 and WO 91/18098, incorporated herein by reference), BMP-5 and BMP-6 (see WO 90/11366, PCT/US90/01630, incorporated herein by reference), BMP-8 and BMP-9.

[0059] Preferred BMPs of this invention comprise at least one polypeptide selected from the group consisting

of OP-1 (BMP-7), OP-2, OP-3, COP-1, COP-3, COP-4, COP-5, COP-7, COP-16, BMP-2, BMP-3, BMP-3b, BMP-4, BMP-5, BMP-6, BMP-9, BMP-10, BMP-11, CDMP-3 (BMP-12), CDMP-2 (BMP-13), CDMP-1 (BMP-14), BMP-15, BMP-16, BMP-17, BMP-18, 5 GDF-1, GDF-2, GDF-3, GDF-5, GDF-6, GDF-7, GDF-8, GDF-9, GDF-10, GDF-11, GDF-12, MP121, dorsalin-1, DPP, Vg-1, Vgr-1, 60A protein, NODAL, UNIVIN, SCREW, ADMP, NEURAL and amino acid sequence variants and homologs thereof, including species homologs thereof and fragments 10 thereof. In some embodiments, one BMP is used. In some embodiments, more than one BMP is used. In some embodiments, two BMPs are used. In some embodiments, three BMPs are used. In some embodiments, the first BMP is OP-1 (BMP-7) or a fragment thereof, and the second 15 BMP is selected from the group consisting of CDMP-1, CDMP-2, CDMP3, GDF-5, GDF-6, GDF-7 and fragments thereof. In some embodiments, the second BMP is CDMP-1, GDF-5 or a fragment thereof. In some embodiments, the first BMP is OP-1 and the second BMP is CDMP-2 or GDF-5.

20 **[0060]** Publications disclosing these sequences, as well as their chemical and physical properties, include: OP-1 and OP-2 (U.S. Patent No. 5,011,691; U.S. Patent No. 5,266,683; Ozkaynak et al., *EMBO J.*, 9, pp. 2085-2093 (1990); OP-3 (WO 94/10203 (PCT US93/10520)), 25 BMP-2, BMP-3, BMP-4, (WO 88/00205; Wozney et al. *Science*, 242, pp. 1528-1534 (1988)), BMP-5 and BMP-6, (Celeste et al., *PNAS*, 87, 9843-9847 (1991)), Vgr-1 (Lyons et al., *PNAS*, 86, pp. 4554-4558 (1989)); DPP (Padgett et al. *Nature*, 325, pp. 81-84 (1987)); Vg-1 30 (Weeks, *Cell*, 51, pp. 861-867 (1987)); BMP-9 (WO95/33830 (PCT/US95/07084); BMP-10 (WO 94/26893 (PCT/US94/05290); BMP-11 (WO 94/26892 (PCT/US94/05288); BMP-12 (WO95/16035 (PCT/US94/14030); BMP-13 (WO95/16035 (PCT/US94/14030);

GDF-1 (WO 92/00382 (PCT/US91/04096) and Lee et al. *PNAS*, 88, pp. 4250-4254 (1991); GDF-8 (WO 94/21681 (PCT/US94/03019); GDF-9 (WO 94/15966 (PCT/US94/00685); GDF-10 (WO 95/10539 (PCT/US94/11440); GDF-11 (WO 5 96/01845 (PCT/US95/08543); BMP-15 (WO 96/36710 (PCT/US96/06540); MP-121 (WO 96/01316 (PCT/EP95/02552); GDF-5 (CDMP-1, MP52) (WO 94/15949 (PCT/US94/00657) and WO 96/14335 (PCT/US94/12814) and WO 93/16099 (PCT/EP93/00350)); GDF-6 (CDMP-2, BMP13) (WO 95/01801 10 (PCT/US94/07762) and WO 96/14335 and WO 95/10635 (PCT/US94/14030)); GDF-7 (CDMP-3, BMP12) (WO 95/10802 (PCT/US94/07799) and WO 95/10635 (PCT/US94/14030)). The above publications are incorporated herein by reference.

[0061] In another embodiment of this invention, the 15 BMPs may be prepared synthetically. BMPs prepared synthetically may be native, or may be non-native proteins, i.e., those not otherwise found in nature. Non-native osteogenic proteins have been synthesized using a series of consensus DNA sequences (U.S. Patent 20 No. 5,324,819, incorporated herein by reference). These consensus sequences were designed based on partial amino acid sequence data obtained from natural osteogenic products and on their observed homologies with other genes reported in the literature having a presumed or 25 demonstrated developmental function.

[0062] Several of the biosynthetic consensus sequences (called consensus osteogenic proteins or "COPs") have been expressed as fusion proteins in prokaryotes. Purified fusion proteins may be cleaved, 30 refolded, implanted in an established animal model and shown to have bone- and/or cartilage-inducing activity. The currently preferred synthetic osteogenic proteins comprise two synthetic amino acid sequences designated ,

COP-5 (SEQ. ID NO: 2) and COP-7 (SEQ. ID NO: 3).

Oppermann et al., U. S. Patent Nos. 5,011,691 and 5,324,819, which are incorporated herein by reference, describe the amino acid sequences of COP-5 and COP-7 as shown below:

COP5 LYVDFS-DVGWDDWIVAPPGYQAFYCHGECPPFLAD

COP7 LYVDFS-DVGWNDWIVAPPGYHAFYCHGECPPFLAD

COP5 HFNSTN--H-AVVQTLVNSVNSKI--PKACCVPTELSA

10 COP7 HLNSTN--H-AVVQTLVNSVNSKI--PKACCVPTELSA

COP5 ISMLYLDENEKVVVKYNQEMVVEGCGCR

COP7 ISMLYLDENEKVVVKYNQEMVVEGCGCR

15 [0063] In these amino acid sequences, the dashes (-) are used as fillers only to line up comparable sequences in related proteins. Differences between the aligned amino acid sequences are highlighted.

[0064] The DNA and amino acid sequences of these and other BMP family members are published and may be used by those of skill in the art to determine whether a newly identified protein belongs to the BMP family.

[0065] In certain preferred embodiments, the BMPs useful herein independently include those in which the amino acid sequences comprise a sequence sharing at least 70% amino acid sequence homology or "similarity", preferably 80%, more preferably 90%, even more preferably 95%, even more preferably 98% homology or similarity, with a reference bone morphogenic protein selected from the foregoing naturally occurring proteins. Preferably, the reference protein is human OP-1, and the reference sequence thereof is the C-terminal seven cysteine domain present in osteogenically



active forms of human OP-1, residues 330-431 of SEQ ID NO: 1. In some embodiments, the BMP comprises a dimeric protein having an amino acid sequence having at least 70% homology within the C-terminal 102-106 amino acids of human OP-1. In certain embodiments, a polypeptide suspected of being functionally equivalent to a reference BMP polypeptide is aligned therewith using the method of Needleman, *et al.*, *supra*, implemented conveniently by computer programs such as the Align program (DNASTar, Inc.). As noted above, internal gaps and amino acid insertions in the candidate sequence are ignored for purposes of calculating the defined relationship, conventionally expressed as a level of amino acid sequence homology or identity, between the candidate and reference sequences. In one preferred embodiment, the reference sequence is OP-1. In another preferred embodiment, the reference sequence is selected from CDMP-1, CDMP-2 or CDMP-3. Bone morphogenic proteins useful herein accordingly include allelic, phylogenetic counterpart and other variants of the preferred reference sequence, whether naturally-occurring or biosynthetically produced (e.g., including "muteins" or "mutant proteins"), as well as novel members of the general morphogenic family of proteins, including those set forth and identified above. Certain particularly preferred bone morphogenic polypeptides share at least 60% amino acid identity with the preferred reference sequence of human OP-1, still more preferably at least 65% amino acid identity therewith.

[0066] In another embodiment, useful BMPs include those sharing the conserved seven cysteine domain and sharing at least 70% amino acid sequence homology (similarity) within the C-terminal active domain, as

defined herein. In still another embodiment, the BMPs of the invention can be defined as osteogenically active proteins having any one of the generic sequences defined herein, including OPX (SEQ ID NO: 4) and Generic Sequences 7 (SEQ ID NO: 5) and 8 (SEQ ID NO: 6), or Generic Sequences 9 (SEQ ID NO: 7) and 10 (SEQ ID NO: 8).

[0067] The family of bone morphogenic polypeptides useful in the present invention, and members thereof, can be defined by a generic amino acid sequence. For example, Generic Sequence 7 (SEQ ID NO: 5) and Generic Sequence 8 (SEQ ID NO: 6) are 97 and 102 amino acid sequences, respectively, and accommodate the homologies shared among preferred protein family members identified to date, including at least OP-1, OP-2, OP-3, CBMP-2A, CBMP-2B, BMP-3, 60A, DPP, Vg1, BMP-5, BMP-6, Vgr-1, and GDF-1. The amino acid sequences for these proteins are described herein and/or in the art, as summarized above. The generic sequences include both the amino acid identity shared by these sequences in the C-terminal domain, defined by the six and seven cysteine skeletons (Generic Sequences 7 and 8, respectively), as well as alternative residues for the variable positions within the sequence. The generic sequences provide an appropriate cysteine skeleton where inter- or intramolecular disulfide bonds can form, and contain certain critical amino acids likely to influence the tertiary structure of the folded proteins. In addition, the generic sequences allow for an additional cysteine at position 36 (Generic Sequence 7) or position 41 (Generic Sequence 8), thereby encompassing the morphogenically active sequences of OP-2 and OP-3.

Generic Sequence 7

			Leu	Xaa	Xaa	Xaa	Phe	Xaa	Xaa
			1				5		
Xaa	Gly	Trp	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Pro
		10					15		
Xaa	Xaa	Xaa	Xaa	Ala	Xaa	Tyr	Cys	Xaa	Gly
		20					25		
Xaa	Cys	Xaa	Xaa	Pro	Xaa	Xaa	Xaa	Xaa	Xaa
		30					35		
Xaa	Xaa	Xaa	Asn	His	Ala	Xaa	Xaa	Xaa	Xaa
		40					45		
Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
		50					55		
Xaa	Xaa	Xaa	Cys	Cys	Xaa	Pro	Xaa	Xaa	Xaa
		60					65		
Xaa	Xaa	Xaa	Xaa	Xaa	Leu	Xaa	Xaa	Xaa	Xaa
		70					75		
Xaa	Xaa	Xaa	Val	Xaa	Leu	Xaa	Xaa	Xaa	Xaa
		80					85		
Xaa	Met	Xaa	Val	Xaa	Xaa	Cys	Xaa	Cys	Xaa
		90					95		

wherein each Xaa independently is selected from a group  
 5 of one or more specified amino acids defined as follows:  
 "res." means "residue" and Xaa at res.2 = (Tyr or Lys);  
 Xaa at res.3 = Val or Ile); Xaa at res.4 = (Ser, Asp or  
 Glu); Xaa at res.6 = (Arg, Gln, Ser, Lys or Ala); Xaa at  
 res.7 = (Asp or Glu); Xaa at res.8 = (Leu, Val or Ile);  
 10 Xaa at res. 11 = (Gln, Leu, Asp, His, Asn or Ser); Xaa  
 at res.12 = (Asp, Arg, Asn or Glu); Xaa at res.13 = (Trp  
 or Ser); Xaa at res.14 = (Ile or Val); Xaa at res.15 =  
 (Ile or Val); Xaa at res.16 (Ala or Ser); Xaa at res.18  
 = (Glu, Gln, Leu, Lys, Pro or Arg); Xaa at res.19 = (Gly  
 15 or Ser); Xaa at res.20 = (Tyr or Phe); Xaa at res.21 =  
 (Ala, Ser, Asp, Met, His, Gln, Leu or Gly); Xaa at  
 res.23 = (Tyr, Asn or Phe); Xaa at res.26 = (Glu, His,  
 Tyr, Asp, Gln, Ala or Ser); Xaa at res.28 = (Glu, Lys,  
 Asp, Gln or Ala); Xaa at res.30 = (Ala, Ser, Pro, Gln,

Ile or Asn); Xaa at res.31 = (Phe, Leu or Tyr); Xaa at  
res.33 = (Leu, Val or Met); Xaa at res.34 = (Asn, Asp,  
Ala, Thr or Pro); Xaa at res.35 = (Ser, Asp, Glu, Leu,  
Ala or Lys); Xaa at res.36 = (Tyr, Cys, His, Ser or  
5 Ile); Xaa at res.37 = (Met, Phe, Gly or Leu); Xaa at  
res.38 = (Asn, Ser or Lys); Xaa at res.39 = (Ala, Ser,  
Gly or Pro); Xaa at res.40 = (Thr, Leu or Ser); Xaa at  
res.44 = (Ile, Val or Thr); Xaa at res.45 = (Val, Leu,  
Met or Ile); Xaa at res.46 = (Gln or Arg); Xaa at res.47  
10 = (Thr, Ala or Ser); Xaa at res.48 = (Leu or Ile); Xaa  
at res.49 = (Val or Met); Xaa at res.50 = (His, Asn or  
Arg); Xaa at res.51 = (Phe, Leu, Asn, Ser, Ala or Val);  
Xaa at res.52 = (Ile, Met, Asn, Ala, Val, Gly or Leu);  
Xaa at res.53 = (Asn, Lys, Ala, Glu, Gly or Phe); Xaa at  
15 res.54 = (Pro, Ser or Val); Xaa at res.55 = (Glu, Asp,  
Asn, Gly, Val, Pro or Lys); Xaa at res.56 = (Thr, Ala,  
Val, Lys, Asp, Tyr, Ser, Gly, Ile or His); Xaa at res.57  
= (Val, Ala or Ile); Xaa at res.58 = (Pro or Asp); Xaa  
at res.59 = (Lys, Leu or Glu); Xaa at res.60 = (Pro, Val  
20 or Ala); Xaa at res.63 = (Ala or Val); Xaa at res.65 =  
(Thr, Ala or Glu); Xaa at res.66 = (Gln, Lys, Arg or  
Glu); Xaa at res.67 = (Leu, Met or Val); Xaa at res.68 =  
(Asn, Ser, Asp or Gly); Xaa at res.69 = (Ala, Pro or  
Ser); Xaa at res.70 = (Ile, Thr, Val or Leu); Xaa at  
25 res.71 = (Ser, Ala or Pro); Xaa at res.72 = (Val, Leu,  
Met or Ile); Xaa at res.74 = (Tyr or Phe); Xaa at res.75  
= (Phe, Tyr, Leu or His); Xaa at res.76 = (Asp, Asn or  
Leu); Xaa at res.77 = (Asp, Glu, Asn, Arg or Ser); Xaa  
at res.78 = (Ser, Gln, Asn, Tyr or Asp); Xaa at res.79 =  
30 (Ser, Asn, Asp, Glu or Lys); Xaa at res.80 = (Asn, Thr  
or Lys); Xaa at res.82 = (Ile, Val or Asn); Xaa at  
res.84 = (Lys or Arg); Xaa at res.85 = (Lys, Asn, Gln,  
His, Arg or Val); Xaa at res.86 = (Tyr, Glu or His); Xaa

at res.87 = (Arg, Gln, Glu or Pro); Xaa at res.88 =  
 (Asn, Glu, Trp or Asp); Xaa at res.90 = (Val, Thr, Ala  
 or Ile); Xaa at res.92 = (Arg, Lys, Val, Asp, Gln or  
 Glu); Xaa at res.93 = (Ala, Gly, Glu or Ser); Xaa at  
 5 res.95 = (Gly or Ala) and Xaa at res.97 = (His or Arg).

**[0068]** Generic Sequence 8 (SEQ ID NO: 6) includes all  
 of Generic Sequence 7 and in addition includes the  
 following sequence (SEQ ID NO: 9) at its N-terminus:

SEQ ID NO: 9

Cys	Xaa	Xaa	Xaa	Xaa
1				5

10 Accordingly, beginning with residue 7, each "Xaa" in  
 Generic Sequence 8 is a specified amino acid defined as  
 for Generic Sequence 7, with the distinction that each  
 residue number described for Generic Sequence 7 is  
 shifted by five in Generic Sequence 8. Thus, "Xaa at  
 15 res.2 =(Tyr or Lys)" in Generic Sequence 7 refers to Xaa  
 at res.7 in Generic Sequence 8. In Generic Sequence 8,  
 Xaa at res.2 = (Lys, Arg, Ala or Gln); Xaa at res.3 =  
 (Lys, Arg or Met); Xaa at res.4 = (His, Arg or Gln); and  
 Xaa at res.5 = (Glu, Ser, His, Gly, Arg, Pro, Thr, or  
 20 Tyr).

**[0069]** In another embodiment, useful osteogenic  
 proteins include those defined by Generic Sequences 9  
 and 10, defined as follows.

**[0070]** Specifically, Generic Sequences 9 and 10 are  
 25 composite amino acid sequences of the following  
 proteins: human OP-1, human OP-2, human OP-3, human BMP-  
 2, human BMP-3, human BMP-4, human BMP-5, human BMP-6,  
 human BMP-8, human BMP-9, human BMP-10, human BMP-11,  
 Drosophila 60A, Xenopus Vg-1, sea urchin UNIVIN, human  
 30 CDMP-1 (mouse GDF-5), human CDMP-2 (mouse GDF-6, human

BMP-13), human CDMP-3 (mouse GDF-7, human BMP-12), mouse GDF-3, human GDF-1, mouse GDF-1, chicken DORSALIN, dpp, Drosophila SCREW, mouse NODAL, mouse GDF-8, human GDF-8, mouse GDF-9, mouse GDF-10, human GDF-11, mouse GDF-11, human BMP-15, and rat BMP3b. Like Generic Sequence 7, Generic Sequence 9 is a 97 amino acid sequence that accommodates the C-terminal six cysteine skeleton and, like Generic Sequence 8, Generic Sequence 10 is a 102 amino acid sequence which accommodates the seven cysteine skeleton.

Generic Sequence 9

Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
1				5					10
Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Pro	Xaa	Xaa	Xaa
				15					20
Xaa	Xaa	Xaa	Xaa	Cys	Xaa	Gly	Xaa	Cys	Xaa
				25					30
Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
				35					40
Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
				45					50
Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
				55					60
Xaa	Cys	Xaa	Pro	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
				65					70
Xaa	Xaa	Leu	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
				75					80
Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
				85					90
Xaa	Xaa	Xaa	Cys	Xaa	Cys	Xaa			
				95					

wherein each Xaa is independently selected from a group of one or more specified amino acids defined as follows:

"res." means "residue" and Xaa at res.1 = (Phe, Leu or Glu); Xaa at res.2 = (Tyr, Phe, His, Arg, Thr, Lys, Gln, Val or Glu); Xaa at res.3 = (Val, Ile, Leu or Asp); Xaa at res.4 = (Ser, Asp, Glu, Asn or Phe); Xaa at res.5 = (Phe or Glu); Xaa at res.6 = (Arg, Gln, Lys, Ser, Glu,

Ala or Asn); Xaa at res.7 = (Asp, Glu, Leu, Ala or Gln);  
Xaa at res.8 = (Leu, Val, Met, Ile or Phe); Xaa at res.9  
= (Gly, His or Lys); Xaa at res.10 = (Trp or Met); Xaa  
at res.11 = (Gln, Leu, His, Glu, Asn, Asp, Ser or Gly);  
5 Xaa at res.12 = (Asp, Asn, Ser, Lys, Arg, Glu or His);  
Xaa at res.13 = (Trp or Ser); Xaa at res.14 = (Ile or  
Val); Xaa at res.15 = (Ile or Val); Xaa at res.16 =  
(Ala, Ser, Tyr or Trp); Xaa at res. 18 = (Glu, Lys, Gln,  
Met, Pro, Leu, Arg, His or Lys); Xaa at res.19 = (Gly,  
10 Glu, Asp, Lys, Ser, Gln, Arg or Phe); Xaa at res.20 =  
(Tyr or Phe); Xaa at res.21 = (Ala, Ser, Gly, Met, Gln,  
His, Glu, Asp, Leu, Asn, Lys or Thr); Xaa at res.22 =  
(Ala or Pro); Xaa at res.23 = (Tyr, Phe, Asn, Ala or  
Arg); Xaa at res.24 = (Tyr, His, Glu, Phe or Arg); Xaa  
15 at res.26 = (Glu, Asp, Ala, Ser, Tyr, His, Lys, Arg, Gln  
or Gly); Xaa at res.28 = (Glu, Asp, Leu, Val, Lys, Gly,  
Thr, Ala or Gln); Xaa at res.30 = (Ala, Ser, Ile, Asn,  
Pro, Glu, Asp, Phe, Gln or Leu); Xaa at res.31= (Phe,  
Tyr, Leu, Asn, Gly or Arg); Xaa at res.32 = (Pro, Ser,  
20 Ala or Val); Xaa at res.33 = (Leu, Met, Glu, Phe or  
Val); Xaa at res.34 = (Asn, Asp, Thr, Gly, Ala, Arg, Leu  
or Pro); Xaa at res.35 = (Ser, Ala, Glu, Asp, Thr, Leu,  
Lys, Gln or His); Xaa at res.36 = (Tyr, His, Cys, Ile,  
Arg, Asp, Asn, Lys, Ser, Glu or Gly); Xaa at res.37 =  
25 (Met, Leu, Phe, Val, Gly or Tyr); Xaa at res.38 = (Asn,  
Glu, Thr, Pro, Lys, His, Gly, Met, Val or Arg); Xaa at  
res.39 = (Ala, Ser, Gly, Pro or Phe); Xaa at res.40 =  
(Thr, Ser, Leu, Pro, His or Met); Xaa at res.41 = (Asn,  
Lys, Val, Thr or Gln); Xaa at res.42 = (His, Tyr or  
30 Lys); Xaa at res.43 = (Ala, Thr, Leu or Tyr); Xaa at  
res.44 = (Ile, Thr, Val, Phe, Tyr, Met or Pro); Xaa at  
res.45 = (Val, Leu, Met, Ile or His); Xaa at res.46 =  
(Gln, Arg or Thr); Xaa at res.47 = (Thr, Ser, Ala, Asn

or His); Xaa at res.48 = (Leu, Asn or Ile); Xaa at  
res.49 = (Val, Met, Leu, Pro or Ile); Xaa at res.50 =  
(His, Asn, Arg, Lys, Tyr or Gln); Xaa at res.51 = (Phe,  
Leu, Ser, Asn, Met, Ala, Arg, Glu, Gly or Gln); Xaa at  
5 res.52 = (Ile, Met, Leu, Val, Lys, Gln, Ala or Tyr); Xaa  
at res.53 = (Asn, Phe, Lys, Glu, Asp, Ala, Gln, Gly, Leu  
or Val); Xaa at res.54 = (Pro, Asn, Ser, Val or Asp);  
Xaa at res.55 = (Glu, Asp, Asn, Lys, Arg, Ser, Gly, Thr,  
Gln, Pro or His); Xaa at res.56 = (Thr, His, Tyr, Ala,  
10 Ile, Lys, Asp, Ser, Gly or Arg); Xaa at res.57 = (Val,  
Ile, Thr, Ala, Leu or Ser); Xaa at res.58 = (Pro, Gly,  
Ser, Asp or Ala); Xaa at res.59 = (Lys, Leu, Pro, Ala,  
Ser, Glu, Arg or Gly); Xaa at res.60 = (Pro, Ala, Val,  
Thr or Ser); Xaa at res.61 = (Cys, Val or Ser); Xaa at  
15 res.63 = (Ala, Val or Thr); Xaa at res.65 = (Thr, Ala,  
Glu, Val, Gly, Asp or Tyr); Xaa at res.66 = (Gln, Lys,  
Glu, Arg or Val); Xaa at res.67 = (Leu, Met, Thr or  
Tyr); Xaa at res.68 = (Asn, Ser, Gly, Thr, Asp, Glu, Lys  
or Val); Xaa at res.69 = (Ala, Pro, Gly or Ser); Xaa at  
20 res.70 = (Ile, Thr, Leu or Val); Xaa at res.71 = (Ser,  
Pro, Ala, Thr, Asn or Gly); Xaa at res.72 = (Val, Ile,  
Leu or Met); Xaa at res.74 = (Tyr, Phe, Arg, Thr, Tyr or  
Met); Xaa at res.75 = (Phe, Tyr, His, Leu, Ile, Lys, Gln  
or Val); Xaa at res.76 = (Asp, Leu, Asn or Glu); Xaa at  
25 res.77 = (Asp, Ser, Arg, Asn, Glu, Ala, Lys, Gly or  
Pro); Xaa at res.78 = (Ser, Asn, Asp, Tyr, Ala, Gly,  
Gln, Met, Glu, Asn or Lys); Xaa at res.79 = (Ser, Asn,  
Glu, Asp, Val, Lys, Gly, Gln or Arg); Xaa at res.80 =  
(Asn, Lys, Thr, Pro, Val, Ile, Arg, Ser or Gln); Xaa at  
30 res.81 = (Val, Ile, Thr or Ala); Xaa at res.82 = (Ile,  
Asn, Val, Leu, Tyr, Asp or Ala); Xaa at res.83 = (Leu,  
Tyr, Lys or Ile); Xaa at res.84 = (Lys, Arg, Asn, Tyr,  
Phe, Thr, Glu or Gly); Xaa at res.85 = (Lys, Arg, His,



Gln, Asn, Glu or Val); Xaa at res.86 = (Tyr, His, Glu or Ile); Xaa at res.87 = (Arg, Glu, Gln, Pro or Lys); Xaa at res.88 = (Asn, Asp, Ala, Glu, Gly or Lys); Xaa at res.89 = (Met or Ala); Xaa at res.90 = (Val, Ile, Ala, Thr, Ser or Lys); Xaa at res.91 = (Val or Ala); Xaa at res.92 = (Arg, Lys, Gln, Asp, Glu, Val, Ala, Ser or Thr); Xaa at res.93 = (Ala, Ser, Glu, Gly, Arg or Thr); Xaa at res.95 = (Gly, Ala or Thr); Xaa at res.97 = (His, Arg, Gly, Leu or Ser). Further, after res.53 in rBMP3b and mGDF-10 there is an Ile; after res.54 in GDF-1 there is a T; after res.54 in BMP3 there is a V; after res.78 in BMP-8 and Dorsalin there is a G; after res.37 in hGDF-1 there is Pro, Gly, Gly, Pro.

[0071] Generic Sequence 10 (SEQ ID NO: 8) includes all of Generic Sequence 9 (SEQ ID NO: 7) and in addition includes the following sequence (SEQ ID NO: 9) at its N-terminus:

SEQ ID NO: 9

Cys	Xaa	Xaa	Xaa	Xaa
1				5

Accordingly, beginning with residue 6, each "Xaa" in Generic Sequence 10 is a specified amino acid defined as for Generic Sequence 9, with the distinction that each residue number described for Generic Sequence 9 is shifted by five in Generic Sequence 10. Thus, "Xaa at res.1 = (Tyr, Phe, His, Arg, Thr, Lys, Gln, Val or Glu)" in Generic Sequence 9 refers to Xaa at res.6 in Generic Sequence 10. In Generic Sequence 10, Xaa at res.2 = (Lys, Arg, Gln, Ser, His, Glu, Ala, or Cys); Xaa at res.3 = (Lys, Arg, Met, Lys, Thr, Leu, Tyr, or Ala); Xaa

at res.4 = (His, Gln, Arg, Lys, Thr, Leu, Val, Pro, or Tyr); and Xaa at res.5 = (Gln, Thr, His, Arg, Pro, Ser, Ala, Gln, Asn, Tyr, Lys, Asp, or Leu).

[0072] As noted above, certain currently preferred bone morphogenic polypeptide sequences useful in this invention have greater than 60% identity, preferably greater than 65% identity, with the amino acid sequence defining the preferred reference sequence of hOP-1. These particularly preferred sequences include allelic and phylogenetic counterpart variants of the OP-1 and OP-2 proteins, including the Drosophila 60A protein. Accordingly, in certain particularly preferred embodiments, useful BMPs include active proteins comprising pairs of polypeptide chains within the generic amino acid sequence herein referred to as "OPX" (SEQ ID NO: 4), which defines the seven cysteine skeleton and accommodates the homologies between several identified variants of OP-1 and OP-2. As described therein, each Xaa at a given position independently is selected from the residues occurring at the corresponding position in the C-terminal sequence of mouse or human OP-1 or OP-2.

SEQ ID NO: 4

25	Cys	Xaa	Xaa	His	Glu	Leu	Tyr	Val	Ser	Phe	Xaa	Asp	Leu	Gly	Trp	Xaa	Asp	Trp	1	5	10	15	
	Xaa	Ile	Ala	Pro	Xaa	Gly	Tyr	Xaa	Ala	Tyr	Tyr	Cys	Glu	Gly	Glu	Cys	Xaa	Phe	Pro	20	25	30	35
	Leu	Xaa	Ser	Xaa	Met	Asn	Ala	Thr	Asn	His	Ala	Ile	Xaa	Gln	Xaa	Leu	Val	His	Xaa	40	45	50	55
30	Xaa	Xaa	Pro	Xaa	Xaa	Val	Pro	Lys	Xaa	Cys	Cys	Ala	Pro	Thr	Xaa	Leu	Xaa	Ala		60	65	70	
	Xaa	Ser	Val	Leu	Tyr	Xaa	Asp	Xaa	Ser	Xaa	Asn	Val	Ile	Leu	Xaa	Lys	Xaa	Arg		75	80	85	90
35	Asn	Met	Val	Val	Xaa	Ala	Cys	Gly	Cys	His										95	100		

wherein Xaa at res.2 = (Lys or Arg); Xaa at res.3 = (Lys or Arg); Xaa at res.11 = (Arg or Gln); Xaa at res.16 = (Gln or Leu); Xaa at res.19 = (Ile or Val); Xaa at  
5 res.23 = (Glu or Gln); Xaa at res.26 = (Ala or Ser); Xaa at res.35 = (Ala or Ser); Xaa at res.39 = (Asn or Asp); Xaa at res.41 = (Tyr or Cys); Xaa at res.50 = (Val or Leu); Xaa at res.52 = (Ser or Thr); Xaa at res.56 = (Phe or Leu); Xaa at res.57 = (Ile or Met); Xaa at res.58 =  
10 (Asn or Lys); Xaa at res.60 = (Glu, Asp or Asn); Xaa at res.61 = (Thr, Ala or Val); Xaa at res.65 = (Pro or Ala); Xaa at res.71 = (Gln or Lys); Xaa at res.73 = (Asn or Ser); Xaa at res.75 = (Ile or Thr); Xaa at res.80 = (Phe or Tyr); Xaa at res.82 = (Asp or Ser); Xaa at  
15 res.84 = (Ser or Asn); Xaa at res.89 = (Lys or Arg); Xaa at res.91 = (Tyr or His); and Xaa at res.97 = (Arg or Lys).

[0073] In still another preferred embodiment, useful BMPs have polypeptide chains with amino acid sequences  
20 comprising a sequence encoded by a nucleic acid that hybridizes, under low, medium or high stringency hybridization conditions, to DNA or RNA encoding reference BMP sequences, e.g., C-terminal sequences defining the conserved seven cysteine domains of OP-1,  
25 OP-2, BMP-2, BMP-4, BMP-5, BMP-6, 60A, GDF-5, GDF-6, GDF-7 and the like. As used herein, high stringent hybridization conditions are defined as hybridization according to known techniques in 40% formamide, 5 X SSPE, 5 X Denhardt's Solution, and 0.1% SDS at 37°C  
30 overnight, and washing in 0.1 X SSPE, 0.1% SDS at 50°C. Standard stringent conditions are well characterized in commercially available, standard molecular cloning texts. See, for example, *Molecular Cloning A Laboratory*

*Manual*, 2nd Ed., ed. by Sambrook, Fritsch and Maniatis (Cold Spring Harbor Laboratory Press: 1989); *DNA Cloning*, Volumes I and II (D.N. Glover ed., 1985); *Oligonucleotide Synthesis* (M.J. Gait ed., 1984); *Nucleic Acid Hybridization* (B. D. Hames & S.J. Higgins eds. 1984); and B. Perbal, *A Practical Guide To Molecular Cloning* (1984), the disclosures of which are incorporated herein by reference.

[0074] As noted above, proteins useful in the present invention generally are dimeric proteins comprising a folded pair of the above polypeptides. In some embodiments, the pair of polypeptides are not disulfide bonded. In some embodiments the pair of polypeptides are disulfide bonded. Such disulfide bonded BMPs are inactive when reduced, but are active as oxidized homodimers and when oxidized in combination with others of this invention to produce heterodimers. Thus, members of a folded pair of bone morphogenic polypeptides in a morphogenically active protein can be selected independently from any of the specific polypeptides mentioned above.

[0075] The BMPs useful in the materials and methods of this invention include proteins comprising any of the polypeptide chains described above, whether isolated from naturally-occurring sources, or produced by recombinant DNA or other synthetic techniques, and includes allelic and phylogenetic counterpart variants of these proteins, as well as muteins thereof, and various truncated and fusion constructs. Deletion or addition mutants also are envisioned to be active, including those which may alter the conserved C-terminal six or seven cysteine domain, provided that the alteration does not functionally disrupt the

relationship of these cysteines in the folded structure. Accordingly, such active forms are considered the equivalent of the specifically described constructs disclosed herein. The proteins may include forms having  
5 varying glycosylation patterns, varying N-termini, a family of related proteins having regions of amino acid sequence homology, and active truncated or mutated forms of native or biosynthetic proteins, produced by expression of recombinant DNA in host cells.

10 [0076] The BMPs contemplated herein can be expressed from intact or truncated cDNA or from synthetic DNAs in prokaryotic or eukaryotic host cells, and purified, cleaved, refolded, and dimerized to form morphogenically active compositions. Alternatively, cells expressing  
15 recombinant may be used in the methods of this invention. Currently preferred host cells include, without limitation, prokaryotes including *E. coli* or eukaryotes including yeast, or mammalian cells, such as CHO, COS or BSC cells. One of ordinary skill in the art  
20 will appreciate that other host cells can be used to advantage. Detailed descriptions of the bone morphogenic proteins useful in the practice of this invention, including how to make, use and test them for osteogenic activity, are disclosed in numerous  
25 publications, including U.S. Patent Nos. 5,266,683 and 5,011,691, the disclosures of which are incorporated by reference herein.

[0077] Thus, in view of this disclosure and the knowledge available in the art, skilled genetic  
30 engineers can isolate genes from cDNA or genomic libraries of various different biological species, which encode appropriate amino acid sequences, or construct DNAs from oligonucleotides, and then can express them in

various types of host cells, including both prokaryotes and eukaryotes, to produce large quantities of active proteins.

Serine/Threonine Kinase Receptors

5     **[0078]**     TGF- $\beta$  superfamily members elicit their cellular responses through formation of heteromeric complexes of specific type I and type II serine/threonine kinase receptors. To date five type II receptors and seven type I receptors (also termed  
10    activin receptor-like kinases (ALKs) have been identified (See e.g., Derynck et al., Biochem. Biophys. Acta, 1333, pp. F105-F150 (1997); Massague, Annu. Rev. Biochem., 67, pp. 753-791 (1998)). The type II receptors are kinases and are constitutively active.  
15    Upon ligand-mediated heteromeric complex formation, the type II receptor phosphorylates particular serine and threonine residues in the type I receptor juxtamembrane region, thereby activating the type I receptor.  
      **[0079]**     ALK-1 was identified as an endothelial  
20    specific TGF- $\beta$  type I receptor (see e.g., Oh et al., Proc. Natl. Acad. Sci. U.S.A., 97, pp. 2626-2631 (2000); see also, Lux et al., J. Biol. Chem., 274, 9984-9992 (1999); US patent 5,968,752). ALK-2 was identified as a type I receptor for activin, TGF- $\beta$  and BMPs (see, e.g.,  
25    Attisano et al., Cell, 75, pp. 671-680 (1993); Miettinen et al., J. Cell Biol., 127, pp. 2021-2036 (1994); ten Dijke et al., Science 264, 101-104 (1994); Macias-Silva et al., J. Cell Biol., 273, pp. 25628-25636 (1998); US Patent 6,271,365). ALK-4 and ALK-5 were identified as  
30    activin and TGF- $\beta$  type I receptors and ALK-3 and ALK-6 were identified as BMP type I receptors (see, e.g., Oh et al., *supra* and Lux et al., *supra*; US Patents 6,271,365 and 6,207,814). ALK-7 was identified as the

type I receptor for Nodal (see, e.g., Reissman et al., Genes Dev., 15, pp. 2010-22 (2001); US patent 5,891,638).

[0080] In some embodiments of the invention, type II  
5 receptors are used. In some embodiments of the invention, type I receptors are used. In yet other embodiments of the invention, both type I and type II receptors are used. In some embodiments, the type I receptor is selected from the group consisting of ALK-1,  
10 ALK-2, ALK-3, ALK-4, ALK-5, ALK-6, ALK-7, amino acid sequence variants and homologs thereof, including species homologs thereof and fragments thereof. In a preferred embodiment, the type I receptor is ALK-2, ALK-3 or ALK-6. In some embodiments, the type I and type II  
15 receptors are recombinant.

[0081] Publications disclosing these sequences as well as their chemical and physical properties include: ALK-1 (US patent 5,968,752); ALK-2, ALK-4 and ALK-5 (US Patent 6,271,365); ALK-3 and ALK-6 (US Patent  
20 6,207,814); ALK-7 (US patents 5,891,638, 5,614,609 and 5,789,565). These publications are incorporated herein by reference.

[0082] The type I and type II receptors contemplated herein can be expressed from intact or truncated cDNA or  
25 from synthetic DNAs in prokaryotic or eukaryotic host cells (see discussion of protein expression, *infra*).

[0083] Thus, in view of this disclosure and the knowledge available in the art, skilled genetic engineers can express these receptors in various types  
30 of host cells, including both prokaryotes and eukaryotes.

Smads

[0084] The Smad family of proteins can be divided into three subfamilies: R-Smads, Co-Smads and I-Smads. The subfamily of R-Smads can be divided into two groups: BMP-Smads and TGF- $\beta$ /activin-Smads. Smad1, Smad5, and Smad8 are phosphorylated by ALK-1, ALK-2, ALK-3 and ALK-6, and Smad2 and Smad3 are activated by ALK-5 and ALK-4, respectively. Smad2 and Smad3 are also activated by ALK-7 (see e.g., Derynck et al., Biochim. Biophys. Acta, 1333, pp. F105-F150 (1997), Massague, Annu. Rev. Biochem., 67, 753-792 (1998); Itoh et al., Eur. J. Biochem., 267, 6954-6967 (2000)). The phosphorylated R-Smads form heteromeric complexes with Co-Smads. To date only one Co-Smad has been identified: Smad4. I-Smads, i.e., Smad6 and Smad7 inhibit TGF- $\beta$  family signaling by preventing the activation of R-Smads and Co-Smads (see, e.g., Itoh et al., *supra*).

[0085] In some embodiments, the Smads used in the methods of this invention are selected from the group consisting of Smad1, Smad2, Smad3, Smad5, Smad8, amino acid sequence variants and homologs thereof, including species homologs thereof and fragments thereof. In a preferred embodiment, the Smad is Smad5. In another embodiment, the Smad is recombinant.

[0086] The sequences for Smads are included in the following publications or are readily available to the skilled worker from GenBank: Smad1 (rat: GenBank Accession No. AF067727; SEQ ID NO: 12 and 13); Smad2 (mouse: GenBank Accession No. NM\_010754; SEQ ID NO: 14 and 15), Smad3 (human: GenBank Accession No. NM\_005902; SEQ ID NO: 16 and 17), Smad4 (mouse: Genbank Accession No. NM\_008540; human: GenBank Accession No. NM\_005359; SEQ ID NO: 18 and 19), Smad5 (rat: GenBank Accession No.



NM\_021692; SEQ ID NO: 20 and 21), Smad6 (US patents 6,534,476 and 6,270,994; human: GenBank Accession No. NM\_005585; SEQ ID NO: 22 and 23), Smad7 (US patents 6,020,464 and 6,251,628; human: GenBank Accession No. NM\_005904; SEQ ID NO: 24 and 25), and Smad8 (rat: GenBank Accession No. AF012347; SEQ ID NO: 26 and 27). These publications and GenBank Accession numbers are incorporated herein by reference.

[0087] The Smads contemplated herein can be expressed from intact or truncated cDNA or from synthetic DNAs in prokaryotic or eukaryotic host cells (see discussion of protein expression, *infra*).

[0088] Thus, in view of this disclosure and the knowledge available in the art, skilled genetic engineers can express these receptors in various types of host cells, including both prokaryotes and eukaryotes (e.g., progenitor cells).

Production or expression of BMPs, serine/threonine kinase receptors and Smads

[0089] The BMPs, serine/threonine kinase receptors and Smads according to this invention may be derived from a variety of sources. They may be isolated from natural sources, or may be produced by expressing an appropriate recombinant DNA molecule in a host cell. In addition, the BMPs, serine/threonine kinase receptors and Smads of this invention may be derived synthetically and synthetic proteins may optionally be expressed from a recombinant DNA molecule in a host cell.

1. Naturally-derived proteins

[0090] In one embodiment of this invention, the BMPs, serine/threonine kinase receptors and Smads are isolated from natural sources. BMPs, serine/threonine kinase

receptors and Smads may be purified from tissue sources, preferably mammalian tissue sources, using conventional physical and chemical separation techniques well known to those of skill in the art.

5           2.   Recombinantly-expressed proteins

[0091]       In another embodiment of this invention, the Smads, BMPs and serine/threonine kinase receptors and are produced by the expression of an appropriate recombinant DNA molecule in a host cell. The DNA and  
10   amino acid sequences of Smads, BMPs and serine/threonine kinase receptors have been reported, and methods for their recombinant production are published and otherwise known to those of skill in the art. For a general discussion of cloning and recombinant DNA technology,  
15   see Ausubel et al., *supra*; see also Watson et al., *Recombinant DNA*, 2d ed. 1992 (W.H. Freeman and Co., New York).

[0092]       For cloning and expressing Smads, BMPs and serine/threonine kinase receptors, standard recombinant  
20   DNA techniques may be used. With the DNA sequence available, a DNA fragment encoding any of these proteins be inserted into an expression vector selected to work in conjunction with a desired host expression system. The DNA fragment is cloned into the vector with the  
25   proper transcription control elements. In some embodiments, the expression of the desired protein may be constitutive. In some embodiments, the expression of the desired protein is under the control of an inducible promoter.

30                   Vectors

[0093]       In some embodiments, the invention provides vectors comprising the nucleic acids encoding Smads, serine/threonine kinase receptors and/or BMPs. The

choice of vector and expression control sequences to which the nucleic acids of this invention are operably linked depends on the functional properties desired, e.g., protein expression, and the host cell to be transformed.

[0094] Expression control elements useful for regulating the expression of an operably linked coding sequence are known in the art. Examples include, but are not limited to, inducible promoters, constitutive promoters, secretion signals, and other regulatory elements. When an inducible promoter is used, it can be controlled, e.g., by a change in nutrient status (e.g. concentration of growth factors or BMPs), or a change in temperature, in the host cell medium.

[0095] An appropriate vector is selected according to the host system selected. Useful vectors include but are not limited to plasmids, cosmids, bacteriophage, insect and animal viral vectors, including retroviruses, and other single and double-stranded DNA viruses.

[0096] In some embodiments, it may be preferable to recombinantly produce a mammalian protein for therapeutic uses in mammalian cell culture systems in order to produce a protein whose structure resembles more closely that of the natural material. Recombinant protein production in mammalian cells requires the establishment of appropriate cells and cell lines that are easy to transfect, are capable of stably maintaining foreign DNA with an unarranged sequence, and which have the necessary cellular components for efficient transcription, translation, post-translational modification and secretion of the protein. In addition, a suitable vector carrying the gene of interest is necessary.

[0097] DNA vector design for transfection into mammalian cells should include appropriate sequences to promote expression of the gene of interest, including: appropriate transcription initiation, termination and enhancer sequences; efficient RNA processing signals such as splicing and polyadenylation signals; sequences that stabilize cytoplasmic mRNA; sequences that enhance translation efficiency (i.e., Kozak consensus sequence); sequences that enhance protein stability; and when desired, sequences that enhance protein secretion.

[0098] Preferred DNA vectors also include a marker gene and means for amplifying the copy number of the gene of interest. DNA vectors may also comprise stabilizing sequences (e.g., ori- or ARS-like sequences and telomere-like sequences), or may alternatively be designed to favor directed or non-directed integration into the host cell genome.

[0099] Substantial progress in the development of mammalian cell expression systems has been made in the last decade and many aspects of the system are well characterized. A detailed review of the production of foreign proteins in mammalian cells, including useful cells, protein expression-promoting sequences, marker genes, and gene amplification methods, is disclosed in M. M. Bendig, *Genetic Engineering*, 7, pp. 91-127 (1988).

[0100] Particular details of the transfection, expression and purification of recombinant proteins are well documented and are understood by those of skill in the art. Further details on the various technical aspects of each of the steps used in recombinant production of foreign genes in mammalian cell expression systems can be found in a number of texts and laboratory manuals in the art. See, e.g., F. M. Ausubel et al.,

ed., *Current Protocols in Molecular Biology*, John Wiley & Sons, New York (1989).

[0101] Briefly, among the best characterized transcription promoters useful for expressing a foreign  
5 gene in a particular mammalian cell are the SV40 early promoter, the adenovirus major late promoter (AdMLP), the mouse metallothionein-I promoter (mMT-I), the Rous sarcoma virus (RSV) long terminal repeat (LTR), the mouse mammary tumor virus long terminal repeat  
10 (MMTV-LTR), and the human cytomegalovirus major intermediate-early promoter (hCMV). The DNA sequences for all of these promoters are known in the art and are available commercially.

[0102] One method of gene amplification in mammalian  
15 cell systems is the use of the selectable dihydrofolate reductase (DHFR) gene in a dhfr- cell line. Generally, the DHFR gene is provided on the vector carrying the gene of interest, and addition of increasing concentrations of the cytotoxic drug methotrexate (MTX)  
20 leads to amplification of the DHFR gene copy number, as well as that of the physically-associated gene of interest. DHFR as a selectable, amplifiable marker gene in transfected chinese hamster ovary cell lines (CHO cells) is particularly well characterized in the art.  
25 Other useful amplifiable marker genes include the adenosine deaminase (ADA) and glutamine synthetase (GS) genes.

[0103] In one expression system, gene amplification is further enhanced by modifying marker gene expression  
30 regulatory sequences (e.g., enhancer, promoter, and transcription or translation initiation sequences) to reduce the levels of marker protein produced. Lowering the level of DHFR transcription increases the DHFR gene

copy number (and the physically-associated gene) to enable the transfected cell to adapt to growth in even low levels of methotrexate (e.g., 0.1  $\mu$ M MTX). As will be appreciated by those skilled in the art, other useful  
5 weak promoters, different from those disclosed and preferred herein, can be constructed using standard vector construction methodologies. In addition, other, different regulatory sequences also can be modified to achieve the same effect.

10 [0104] Another gene amplification scheme relies on the temperature sensitivity (ts) of BSC40-tsA58 cells transfected with an SV40 vector. Temperature reduction to 33 °C stabilizes the temperature sensitive SV40 T antigen, which leads to the excision and amplification  
15 of the integrated transfected vector DNA thereby amplifying the physically associated gene of interest.

[0105] Eukaryotic cell expression vectors are known in the art and are commercially available. Typically, such vectors contain convenient restriction sites for  
20 insertion of the desired DNA segment.

[0106] Eukaryotic cell expression vectors may include a selectable marker, e.g., a drug resistance gene. The neomycin phosphotransferase (neo) gene (Southern et al., 1982, J. Mol. Anal. Genet. 1:327-341) is an example of  
25 such a gene.

[0107] To express the desired proteins of this invention, DNAs encoding the proteins (Smads, serine/threonine kinase receptors and/or BMPs are inserted into expression vectors such as plasmids,  
30 retroviruses, cosmids, YACs, EBV-derived episomes, and the like. The expression vector and expression control sequences are chosen to be compatible with the expression host cell used. In some embodiments, Smad,

serine/threonine kinase receptors and BMP nucleic acids can be inserted into separate vectors. In some embodiments, the Smad, serine/threonine kinase receptor and BMP nucleic acids are inserted into the same  
5 expression vector. In some embodiments the Smad and serine/threonine kinase receptor nucleic acids are inserted into the same vector. In some embodiments, the Smad and BMP nucleic acids are inserted into the same vector. Alternatively, any combination of Smad, BMP  
10 and/or serine/threonine kinase receptor are inserted into the same vector.

[0108] A convenient vector is one that encodes a functionally complete protein. To the extent secretion of a desired protein is required, the recombinant  
15 expression vector can also encode a signal peptide that facilitates secretion of the desired protein from a host cell.

[0109] Nucleic acid molecules encoding Smads, serine/threonine kinase receptors and/or BMPs, and  
20 vectors comprising these nucleic acid molecules, can be used for transformation of a suitable host cell. Transformation can be by any suitable method. Methods for introduction of exogenous DNA into mammalian cells are well known in the art and include dextran-mediated  
25 transfection, calcium phosphate precipitation, polybrene-mediated transfection, protoplast fusion, electroporation, encapsulation of the polynucleotide(s) in liposomes, and direct microinjection of the DNA into nuclei. In addition, nucleic acid molecules may be  
30 introduced into mammalian cells by viral vectors.

[0110] Transformation of host cells can be accomplished by conventional methods suited to the vector and host cell employed. For transformation of

prokaryotic host cells, electroporation and salt treatment methods can be employed (Cohen et al., 1972, Proc. Natl. Acad. Sci. USA 69:2110-2114). For transformation of vertebrate cells, electroporation, cationic lipid or salt treatment methods can be employed. See, e.g., Graham et al., 1973, Virology 52:456-467; Wigler et al., 1979, Proc. Natl. Acad. Sci. USA 76:1373-1376f.

### Host Cells

[0111] Host cells can be prokaryotic or eukaryotic. Useful host cells include but are not limited to bacteria such as *E. coli*, yeasts such as *Saccharomyces* and *Picia*, insect-baculovirus cell system, and primary, transformed or immortalized eukaryotic cells in culture. Preferred eukaryotic host cells include, but are not limited to, yeast and mammalian cells, e.g., Chinese hamster ovary (CHO) cell, NIH Swiss mouse embryo cells NIH-3T3, baby hamster kidney cells (BHK), C2C12 cells and BSC cells. Other useful eukaryotic cells include osteoprogenitor cells, cartilage progenitor cells, tendon progenitor cells, ligament progenitor cells and neural progenitor cells.

[0112] The methodology disclosed herein includes the use of COS cells for the rapid evaluation of vector construction and gene expression, and the use of established cell lines for long term protein production.

[0113] The choice of cells/cell lines is also important and depends on the needs of the skilled practitioner. Monkey kidney cells (COS) provide high levels of transient gene expression providing a useful means for rapidly testing vector construction and the expression of cloned genes. COS cells are transfected



with a simian virus 40 (SV40) vector carrying the gene of interest. The transfected COS cells eventually die, thus preventing the long term production of the desired protein product. However, transient expression does not  
5 require the time consuming process required for the development of stable cell lines.

[0114] CHO cells are capable of successfully expressing a wide variety of proteins from a broad range of cell types. Thus, while the glycosylation pattern on  
10 a recombinant protein produced in a mammalian cell expression system may not be identical to the natural protein, the differences in oligosaccharide side chains are often not essential for biological activity of the expressed protein.

15 [0115] The DHFR gene also may be used as part of a gene amplification scheme for CHO cells. Another gene amplification scheme relies on the temperature sensitivity (ts) of BSC40-tsA58 cells transfected with an SV40 vector. Temperature reduction to 33 °C  
20 stabilizes the ts SV40 T antigen which leads to the excision and amplification of the integrated transfected vector DNA, thereby also amplifying the associated gene of interest.

[0116] Stable cell lines were established for CHO  
25 cells as well as BSC40-tsA58 cells (hereinafter referred to as "BSC cells"). The various cells, cell lines and DNA sequences chosen for mammalian cell expression of the Smads, serine/threonine kinase receptors and BMPs of this invention are well characterized in the art and are  
30 readily available. Other promoters, selectable markers, gene amplification methods and cells also may be used to express the Smads, serine/threonine kinase receptors and BMPs of this invention. Particular details of the

transfection, expression, and purification of recombinant proteins are well documented in the art and are understood by those having ordinary skill in the art. Further details on the various technical aspects of each of the steps used in recombinant production of foreign genes in mammalian cell expression systems can be found in a number of texts and laboratory manuals in the art. See, e.g., F. M. Ausubel et al., ed., *Current Protocols in Molecular Biology*, John Wiley & Sons, New York (1989).

#### Progenitor cells

[0117] The progenitor cells that are induced to proliferate and/or differentiate in the present invention are preferably mammalian cells. Preferred progenitor cells include mammalian chondroblasts, osteoblasts and neuroblasts, all earlier developmental precursors thereof, and all cells that develop therefrom (e.g., chondroblasts, pre-chondroblasts and chondrocytes). However, any non-mammalian progenitor cells are also likely to be useful in the methods of the present invention. It is, thus, envisioned that when schemes become available for implanting xenogeneic cells into humans without causing adverse immunological reactions, non-mammalian progenitor cells will be useful for tissue regeneration and repair in humans.

[0118] In some embodiments, the progenitor cells comprise a nucleic acid encoding a Smad and optionally a nucleic acid encoding one or more serine/threonine kinase receptor and/or a nucleic acid encoding one or more BMP. In some embodiments, the progenitor cells comprise vectors comprising a nucleic acid encoding a Smad and optionally a nucleic acid encoding one or more

serine/threonine kinase receptor and/or a nucleic acid encoding one or more BMP. In some embodiments, the nucleic acid encoding Smad, serine/threonine kinase receptor and/or BMP are in one vector. In some  
5     embodiments, the nucleic acid encoding Smad, serine/threonine kinase receptor and/or BMP are in different vectors. In some embodiments, the nucleic acids are recombinant.

[0119]     In some embodiments, only type I  
10     serine/threonine kinase receptors are used. In some embodiments, only type II serine/threonine kinase receptors are used. In other embodiments, both type I and type II serine/threonine kinase receptors are used. In some embodiments, more than one BMP will be  
15     administered to the desired cell or tissue. In some embodiments, two BMPs will be used. In some embodiments, three BMPs will be used. The particular choice of combination of BMPs and the relative concentrations at which they are combined may be varied  
20     systematically to optimize the tissue type induced at a selected treatment site using the procedures described herein. The relative concentrations of the BMPs either alone or in combination that will optimally induce tissue formation when administered to a mammal may be  
25     determined empirically by the skilled practitioner using the procedures described herein.

#### Gene Therapy

[0120]     The Smad, serine/threonine kinase receptor and/or BMP proteins can be produced *in vivo* in a mammal,  
30     e.g., a human patient, using a gene therapy approach for inducing tissue formation, repairing a tissue defect or regenerating tissue at a target locus. This involves

administration of a suitable Smad, serine/threonine kinase receptor and/or BMP protein-encoding nucleic acid operably linked to suitable expression control sequences. Preferably, these sequences are incorporated  
5 into a viral vector. Suitable viral vectors for such gene therapy include adenoviral vectors, lentiviral vectors, baculoviral vectors, Epstein Barr viral vectors, papovaviral vectors, vaccinia viral vectors, herpes simplex viral vectors, and adeno associated virus  
10 (AAV) vectors. The viral vector can be a replication-defective viral vector. A preferred adenoviral vector has a deletion in its E1 gene or E3 gene. When an adenoviral vector is used, preferably the mammal is not exposed to a nucleic acid encoding a selectable marker  
15 gene.

#### Formulations

[0121] The proteins (e.g., BMPs) of the present invention can be formulated as part of a pharmaceutical composition. The pharmaceutical compositions provided  
20 by this invention comprise at least one protein. The compositions of this invention will be administered at an effective dose to induce the particular type of tissue at the treatment site selected according to the particular clinical condition addressed. Determination  
25 of a preferred pharmaceutical formulation and a therapeutically effective dose regimen for a given application is well within the skill of the art taking into consideration, for example, the mode of administration, the condition and weight of the patient,  
30 the extent of the desired treatment and the tolerance of the patient for the treatment.

[0122] Administration of the proteins of this invention, may be accomplished using any of the conventional modes of administration.

[0123] The pharmaceutical compositions comprising a protein of this invention may be in a variety of forms. These include, for example, solid, semi-solid and liquid dosage forms such as tablets, pills, powders, liquid solutions or suspensions, suppositories, and injectable and infusible solutions. The preferred form depends on the intended mode of administration and therapeutic application and may be selected by one skilled in the art. Modes of administration may include oral, parenteral, subcutaneous, intravenous, intralesional or topical administration. In most cases, the pharmaceutical compositions of this invention will be administered in the vicinity of the treatment site in need of tissue regeneration or repair.

[0124] The pharmaceutical compositions comprising a protein of this invention may, for example, be placed into sterile, isotonic formulations with or without cofactors which stimulate uptake or stability. The formulation is preferably liquid, or may be lyophilized powder. For example, the BMP of this invention may be diluted with a formulation buffer comprising 5.0 mg/ml citric acid monohydrate, 2.7 mg/ml trisodium citrate, 41 mg/ml mannitol, 1 mg/ml glycine and 1 mg/ml polysorbate 20. This solution can be lyophilized, stored under refrigeration and reconstituted prior to administration with sterile Water-For-Injection (USP).

[0125] The compositions also will preferably include conventional pharmaceutically acceptable carriers well known in the art (see for example Remington's Pharmaceutical Sciences, 16th Edition, 1980, Mac

Publishing Company). Such pharmaceutically acceptable carriers may include other medicinal agents, carriers, genetic carriers, adjuvants, excipients, etc., such as human serum albumin or plasma preparations. The

5 compositions are preferably in the form of a unit dose and will usually be administered as a dose regimen that depends on the particular tissue treatment.

[0126] The pharmaceutical compositions of this invention may also be administered in conjunction with a  
10 morphogenic device using, for example, microspheres, liposomes, other microparticulate delivery systems or sustained release formulations placed in, near, or otherwise in communication with affected tissues or the bloodstream bathing those tissues.

15 [0127] Liposomes containing a protein of this invention can be prepared by well-known methods (See, e.g. DE 3,218,121; Epstein et al., Proc. Natl. Acad. Sci. U.S.A., 82, pp. 3688-92 (1985); Hwang et al., Proc. Natl. Acad. Sci. U.S.A., 77, pp. 4030-34 (1980); U.S.  
20 Patent Nos. 4,485,045 and 4,544,545). Ordinarily the liposomes are of the small (about 200-800 Angstroms) unilamellar type in which the lipid content is greater than about 30 mol.% cholesterol. The proportion of cholesterol is selected to control the optimal rate of  
25 BMP release.

[0128] The proteins of this invention may also be attached to liposomes containing other biologically active molecules such as immunosuppressive agents, cytokines, etc., to modulate the rate and  
30 characteristics of tissue induction. Attachment of BMPs to liposomes may be accomplished by any known cross-linking agent such as heterobifunctional cross-linking agents that have been widely used to couple toxins or

chemotherapeutic agents to antibodies for targeted delivery. Conjugation to liposomes can also be accomplished using the carbohydrate-directed cross-linking reagent 4-(4-maleimidophenyl) butyric acid hydrazide (MPBH) (Duzgunes et al., J. Cell. Biochem. Abst. Suppl. 16E 77 (1992)).

[0129] The following are examples which illustrate the methods of this invention. These examples should not be construed as limiting: the examples are included for purposes of illustration and the present invention is limited only by the claims.

**Example 1 - Effect of CDMP-1 and OP-1 on Smad5 levels**

[0130] Total C2C12 cell lysates treated with solvent control (lane 1), CDMP-1 (200 ng/ml), or OP-1 (100 ng/ml) were collected after 5 days. Proteins in the lysates were resolved by SDS-PAGE, transferred to nylon membranes, and analyzed using the anti-human Smad5 antibody (Cell Signaling Technology, Inc., Beverly, MA), as the primary antibody and anti-rabbit IgG HRP-conjugated antibody was used as the secondary antibody. Immunoreactive bands were detected using the SuperSignal chemiluminescent detection system (Pierce, Rockford, IL), according to the manufacturer's instructions. Representative results of two independent experiments are shown. Western blot analyses showed that the Smad5 protein level in control C2C12 cells was hardly detectable by Western blot analysis (Figure 1, lane 1). CDMP-1 alone stimulated Smad5 protein expression in C2C12 cells (Figure 1, lane 2). OP-1 alone stimulated Smad5 protein expression to a higher level (Figure 1, lane 3). The combination of CDMP-1 and OP-1 significantly stimulated Smad5 protein expression even

more (Figure 1, lane 4). The current observation that the protein level of Smad5 was dramatically increased in cells treated with the combination of OP-1 and CDMP-1 would support the supposition that the effect of the combination of OP-1 and CDMP-1 is likely directed at the Smad signaling pathway.

**Example 2 - Co-transfection with the OP-1 Gene and the CDMP-1 Gene**

- 10 [0131] Target cells will be transfected with plasmids or viral carriers containing the OP-1 gene and the CDMP-1 gene under an appropriate promoter. In addition, these cells will be transfected with plasmids or viral carriers containing the Smad5 gene. For example,
- 15 plasmid pW24 (10.35 kb) that contains the coding sequence for OP-1 under the control of the CMV promoter may be used. Similarly, plasmids containing the CMDP-1 gene (pCDMP-1) or the Smad5 gene (pSmad5) will be constructed by replacing the OP-1 gene in the pW24
- 20 plasmid. Alternatively, these genes may be placed under the control of promoters that can be induced thus allowing control of expression at will. Additional vectors, such as adenoviral vectors may be constructed and tested in order to optimize and maximize expression.
- 25 [0132] Target cells that have been shown capable to become osteoblastic upon treatment with OP-1 include osteoblastic cells such as FRC cells, and pluripotent mesenchymal cells such as C2C12 and C3H10T1/2 cell lines. Cells of other orthopaedic utility may also be
- 30 used. These include cells derived from cartilage, tendon, ligament, and meniscus.
- [0133] Transfection studies will be carried out using the calcium phosphate-DNA coprecipitation method or



FuGene6 (Roche Diagnostics). Briefly, cells will be transfected with the specified plasmid DNAs (1 µg/ml) in serum-free medium for 4-6 h. The medium will be replaced with complete αMEM containing 10% FBS.

5 **Example 3 - Effect of OP-1, CDMP-1 and/or Smad5 on Alkaline Phosphatase Activity**

[0134] The effect of co-transfection of FRC cells with pW24 and pCDMP-1 and/or pSmad5 will be examined. For example, FRC cells will be transfected with pW24,  
10 pCDMP-1 and/or pSmad5. After 48 h, total AP activity will be measured. It is anticipated that the AP activity in cells co-transfected with pW24, pCDMP-1 and/or pSmad5 will increase as a function of pCDMP-1 and/or pSmad5 concentration. The increase should be  
15 beyond that in cells transfected with pW24 alone. The AP activity in cells transfected with pCDMP-1 alone will also show a lesser but significant increase compared to the non-transfected control or cells transfected with the empty plasmids (vectors without the OP-1, CDMP-1  
20 and/or Smad5 genes). Co-transfection with pW24 and the empty plasmid is not expected to result in an increase in AP activity beyond that by pW24 alone. Protein levels of OP-1, CDMP-1, and Smad5 under these conditions will be measured by Western blot analysis. Control  
25 experiments will include co-transfection of FRC cells with the empty plasmids, i.e. vector without the OP-1, CDMP-1 or Smad5 genes. It is expected that the AP activity will not be elevated beyond the non-transfected controls. The expression of additional osteoblastic  
30 cell markers, such as osteocalcin (OC) and bone sialoprotein (BSP) will also be monitored using either Northern blot analysis or real-time PCR. Transfection

conditions for other cell types will be optimized accordingly using art recognized methods.

**Example 4 - Effect of OP-1, CDMP-1 and/or Smad5 on Mineralized Bone Nodule Formation**

5    [0135]    The effect of co-transfection of FRC cells with pW24 and pCDMP-1 or pSmad5 on mineralized bone nodule formation will be examined. Confluent FRC cells will be co-transfected with pW24 and pCDM-1 and/or pSmad5 using FuGene6 using the optimal ratio of pW24 to  
10    pCDMP-1 or pSmad5 as determined by the experiments described above. Cells will be cultured in complete  $\alpha$ MEM containing 5% FBS, ascorbic acid, and  $\beta$ -glycerol phosphate in the presence of 250  $\mu$ g/ml of Neomycin. Media will be changed every 3 days. Progress of nodule  
15    formation will be monitored periodically and the images will be captured using an Olympus CK2 inverted microscope equipped with a CCD camera. At the termination of the experiments, cultures will be stained by Alizarin Red-S staining to assess the extent of  
20    formation of mineralized bone nodules.

**Example 5 - In vivo Expression of OP-1, CDMP-1 and Smad5**

[0136]    In vivo studies will be conducted using two experimental approaches: (i) Direct injection of OP-1  
25    expressing vectors together with CDMP-1 and/or Smad5-expressing vectors into muscles of mice, and (ii) injection of transfected cells into muscles.

[0137]    For direct injection experiments, nude mice will be injected with vectors expressing OP-1, CDMP-1  
30    and/or Smad5 (pW24, pCDMP-1 and/or pSmad5, respectively) with a 27-gauge needle subcutaneously into a male homozygous nude mouse. Standard aseptic techniques will be used in all manipulations. To determine *in vivo*

osteogenic dose response of the vectors, eight mice will be used. Each mouse will be injected with 0.1 - 10 mg/ml vectors in 100  $\mu$ l each. Body weight and growth at the site of injection will be followed daily via in-life measurement of the mass. The cross-sectional area of the mass will be measured with a vernier caliper. The size of the mass will be calculated using the formula: length/2 x width/2 x  $\pi$ . The mass and the body weight will be plotted as a function of time following injection. The animals will be monitored for 49 days. At necropsy, the mass at the site of injection will be collected, fixed, stained with hematoxylin and eosin, and subjected to histological analysis. Controls will include mice injected with individual pW24, pCDMP-1 and/or pSmad5 alone. It is anticipated that the bone mass in mice injected with the combination of the pW24 and pCDMP-1, or pW24 plus pSmad5 will be greater than that injected with individual vector alone.

[0138] For experiments using injection of cells, similar experiments as described above will be conducted except that animals will be injected with cells co-transfected with vectors carrying the OP-1, CDMP-1, and/or Smad5 genes. Accordingly, cells will be grown to mid-log phase and transfected with a combination of vectors expressing OP-1, CDMP-1 and/or Smad5 as described above using the optimal ratio of the two vectors. Cells will be removed from the culturing dishes by trypsin-EDTA digestion. Trypsin will be inactivated by serum (10%) and removed by repeated washings with HBSS. Cells will be suspended in a minimal volume of HBSS and injected with a 27-gauge needle subcutaneously into the flank of a male homozygous nude mouse. Standard aseptic techniques will

be used in all manipulations. Eight nude mice will be injected with  $10^6$  cells in 100  $\mu$ l each. Outcome measurements as described above will be conducted. It is anticipated that the bone mass in mice injected with cells transfected with the combination of the pW24 and pCDMP-1, or pW24 plus pSmad5 will be greater than that injected with cells transfected with individual vector alone.

**Example 6 - Gene Therapy In Patients Using Transfected**

**Cells**

[0139] For cell therapeutics with tranfected genes, appropriate cells will be transfected *in vitro* with DNA vectors carrying the OP-1 gene, the CDMP-1 gene, and/or the Smad5 gene and optionally a serine/threonine kinase receptor. Appropriate cells include osteoblasts or osteoblastic cell progenitors for the repair of bone defects. For repair of cartilage regeneration, cells of chondrocyte origin or chondrogenitor cells will be appropriate. Similarly, for the regeneration of tendons or ligaments, the appropriate cells include progenitor cells of tendon or ligament origin. The transfected cells will be cultured to allow expression of the transfected gene(s). The cells will then be injected or implanted into a defect site in a patient. The defect site may be in bone, cartilage, tendon, ligament or neural tissue. The number of cells injected or implanted into the defect will depend on the size of the defect. Exemplary DNA vectors will be pW24, pCDMP-1, or pSmad5 as described previously.

**Example 7 - Gene Therapy In Patients Using Transfected**

**Cells**

[0140] For directed gene therapy, a combination of vectors as described above carrying the OP-1 gene, the

cDMP-1 gene, the Smad5 gene and/or a serine/threonine kinase will be injected into the defect site in a patient. The genes encoding each of the proteins may be placed in the same vector or in separate vectors.

5 **Example 8 - Monitoring Effects of Gene Therapy in Patients**

[0141] The repair site will be monitored radiographically every two weeks for a minimum of two years. It is anticipated that the defect site which  
10 receives the combination of OP-1 + CDMP-1 + Smad or OP-1 + Smad5 (delivered by either of the methods described in Examples 6 and 7) will exhibit a faster rate of repair than that receives OP-1 alone.

[0142] In case of cartilage and tendon/ligament  
15 repair, delivery of pCDMP-1 alone to a defect site will induce tissue formation. However, delivery of OP-1 + CDMP-1 to a defect site should exhibit a faster rate of repair than delivery of CDMP-1 or Smad5 alone. In all cases, the treated sites should exhibit regeneration  
20 whereas those treated with vehicle should not.

We Claim:

1. A method of inducing the expression of a Smad in a cell or tissue comprising contacting the cell or tissue capable of expressing the Smad with a bone morphogenic protein.

2. The method according to claim 1, wherein the cell or tissue is contacted with two bone morphogenic proteins.

3. The method according to claim 1 or 2, wherein each bone morphogenic protein is independently selected from the group consisting of OP-1 (BMP-7), OP-2, OP-3, COP-1, COP-3, COP-4, COP-5, COP-7, COP-16,  
5 BMP-2, BMP-3, BMP-3b, BMP-4, BMP-5, BMP-6, BMP-9, BMP-10, BMP-11, CDMP-3 (BMP-12), CDMP-2 (BMP-13), CDMP-1 (BMP-14), BMP-15, BMP-16, BMP-17, BMP-18, GDF-1, GDF-2, GDF-3, GDF-5, GDF-6, GDF-7, GDF-8, GDF-9, GDF-10, GDF-11, GDF-12, MP121, dorsalin-1, DPP, Vg-1, Vgr-1, 60A  
10 protein, NODAL, UNIVIN, SCREW, ADMP, and NEURAL.

4. The method according to claim 1, wherein the bone morphogenic protein is OP-1.

5. The method according to claim 1, wherein the bone morphogenic protein is CDMP-1 or GDF-5.

6. The method according to claim 2, wherein the first bone morphogenic protein is OP-1 and the second bone morphogenic protein is selected from the group consisting of CDMP-1, and GDF-5.

7. The method according to claim 1 or 2, wherein the Smad is selected from the group consisting of Smad1, Smad2, Smad3, Smad5 and Smad8.

8. The method according to claim 7, wherein the Smad is Smad5.

9. The method according to claim 1, wherein the Smad is a recombinant Smad.

10. The method according to claim 1 or 2, wherein the cell or tissue is further capable of expressing a serine/threonine kinase receptor.

11. The method according to claim 10, wherein the serine/threonine kinase receptor is a type I receptor.

12. The method according to claim 10, wherein the serine/threonine kinase receptor is a type II receptor.

13. The method according to claim 10, wherein the cell or tissue is further capable of expressing both type I and type II serine/threonine kinase receptor.

14. The method according to claim 11 or 13, wherein the type I receptor is selected from the group consisting of ALK-1, ALK-2, ALK-3, ALK-4, ALK-5, ALK-6, and ALK-7.

15. The method according to claim 10 wherein the serine/threonine kinase receptor is a recombinant serine/threonine kinase receptor.

16. The method according to claim 1 or 2 wherein the tissue is selected from the group consisting of bone, cartilage, tendon, ligament and neural tissue.

17. The method according to claim 1 or 2 wherein the cell is a progenitor cell.

18. The method according to claim 17, wherein the progenitor cell is selected from the group consisting of an osteoprogenitor cell, a cartilage progenitor cell, a ligament progenitor cell, a tendon  
5 progenitor cell, and a neural progenitor cell.

19. A method of inducing tissue formation at a target locus in a mammal comprising the step of administering to the target locus a nucleic acid encoding a Smad.

20. A method of inducing tissue formation at a target locus in a mammal comprising the step of administering to the target locus a vector comprising a nucleic acid encoding a Smad operably linked to an expression control sequence.

21. A method of inducing tissue formation at a target locus in a mammal comprising the step of administering to the target locus a cell comprising a vector comprising a nucleic acid encoding a Smad  
5 operably linked to an expression control sequence.

22. A method of repairing a tissue defect or regenerating tissue at a target locus in a mammal comprising the step of administering to the target locus a nucleic acid encoding a Smad.



23. A method of repairing a tissue defect or regenerating tissue at a target locus in a mammal comprising the step of administering to the target locus a vector comprising a nucleic acid encoding a Smad operably linked to an expression control sequence.

24. A method of repairing a tissue defect or regenerating tissue at a target locus in a mammal comprising the step of administering to the target locus a cell comprising a vector comprising a nucleic acid encoding a Smad operably linked to an expression control sequence.

25. The method according to any one of claims 20, 21, 23 or 24, wherein the expression control sequence comprises a constitutive promoter.

26. The method according to claim 20, 21, 23 or 24, wherein the expression control sequence comprises an inducible promoter.

27. The method according to any one of claims 19-24, wherein the Smad is selected from the group consisting of Smad1, Smad2, Smad3, Smad5 and Smad8.

28. The method according to claim 27, wherein the Smad is Smad5.

29. The method according to any one of claims 19-28, wherein the Smad is recombinant Smad.

30. The method according to any one of claims 19-24 further comprising the step of

administering to the target locus a nucleic acid encoding a serine/threonine kinase receptor.

31. The method according to any one of claims 19-24 further comprising the step of administering to the target locus a vector comprising a nucleic acid encoding a serine/threonine kinase receptor operably linked to an expression control sequence.

32. The method according to any one of claims 19-24 further comprising the step of administering to the target locus a cell comprising a vector comprising a nucleic acid encoding a serine/threonine kinase receptor operably linked to an expression control sequence.

33. The method according to claim 31 or 32, wherein the expression control sequence operably linked to the serine/threonine kinase receptor comprises a constitutive promoter.

34. The method according to claim 31 or 32, wherein the expression control sequence operably linked to the serine/threonine kinase receptor comprises an inducible promoter.

35. The method according to any one of claims 33-32, wherein the serine/threonine kinase receptor is a type I receptor.

36. The method according to any one of claims 33-32, wherein the serine/threonine kinase receptor is a type II receptor.

37. The method according to any one of claims 33-32, wherein both type I and type II serine/threonine kinase receptors are administered.

38. The method according to any one of claim 35 or 37, wherein the type I receptor is selected from the group consisting of ALK-1, ALK-2, ALK-3, ALK-4, ALK-5, ALK-6, and ALK-7.

39. The method according to claim 38, wherein the serine/threonine kinase receptor is selected from the group consisting of ALK-2, ALK-3, and ALK-6.

40. The method according to claim 30-32, wherein the serine/threonine kinase receptor is a recombinant ALK.

41. The method according to any one of claims 19-24, further comprising the step of administering to the target locus a bone morphogenic protein.

42. The method according to any one of claims 19-24, further comprising the step of administering to the target locus a nucleic acid encoding a bone morphogenic protein.

43. The method according to any one of claims 19-24, further comprising the step of administering to the target locus a vector comprising a nucleic acid encoding a bone morphogenic protein operably linked to an expression control sequence.

44. The method according to any one of claims 19-24, further comprising the step of administering to the target locus a cell comprising a vector comprising a nucleic acid encoding a bone morphogenic protein operably linked to an expression control sequence.

45. The method according to any one of claims 41-44, wherein the bone morphogenic protein is selected from the groups consisting of OP-1 (BMP-7), OP-2, OP-3, COP-1, COP-3, COP-4, COP-5, COP-7, COP-16, BMP-2, BMP-3, BMP-3b, BMP-4, BMP-5, BMP-6, BMP-9, BMP-10, BMP-11, CDMP-3 (BMP-12), CDMP-2 (BMP-13), CDMP-1 (BMP-14), BMP-15, BMP-16, BMP-17, BMP-18, GDF-1, GDF-2, GDF-3, GDF-5, GDF-6, GDF-7, GDF-8, GDF-9, GDF-10, GDF-11, GDF-12, MP121, dorsalin-1, DPP, Vg-1, Vgr-1, 60A protein, NODAL, UNIVIN, SCREW, ADMP, and NEURAL.

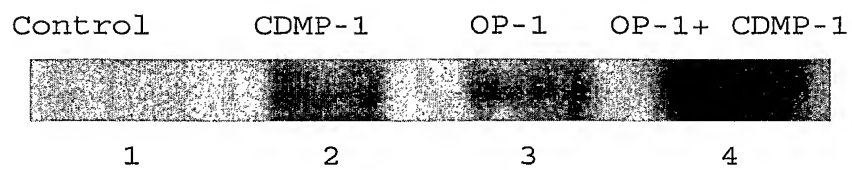
46. The method according to claim 45, wherein the bone morphogenic protein is OP-1.

47. The method according to any one of claims 19-24, wherein the tissue is selected from the group consisting of bone, cartilage, tendon, ligament and neural tissue.

48. The method according to claim 21 or 24, wherein the cell is a progenitor cell.

49. The method according to claim 48, wherein the progenitor cell is selected from the group consisting of an osteoprogenitor cell, a cartilage progenitor cell, a ligament progenitor cell, a tendon progenitor cell, and a neural progenitor cell.

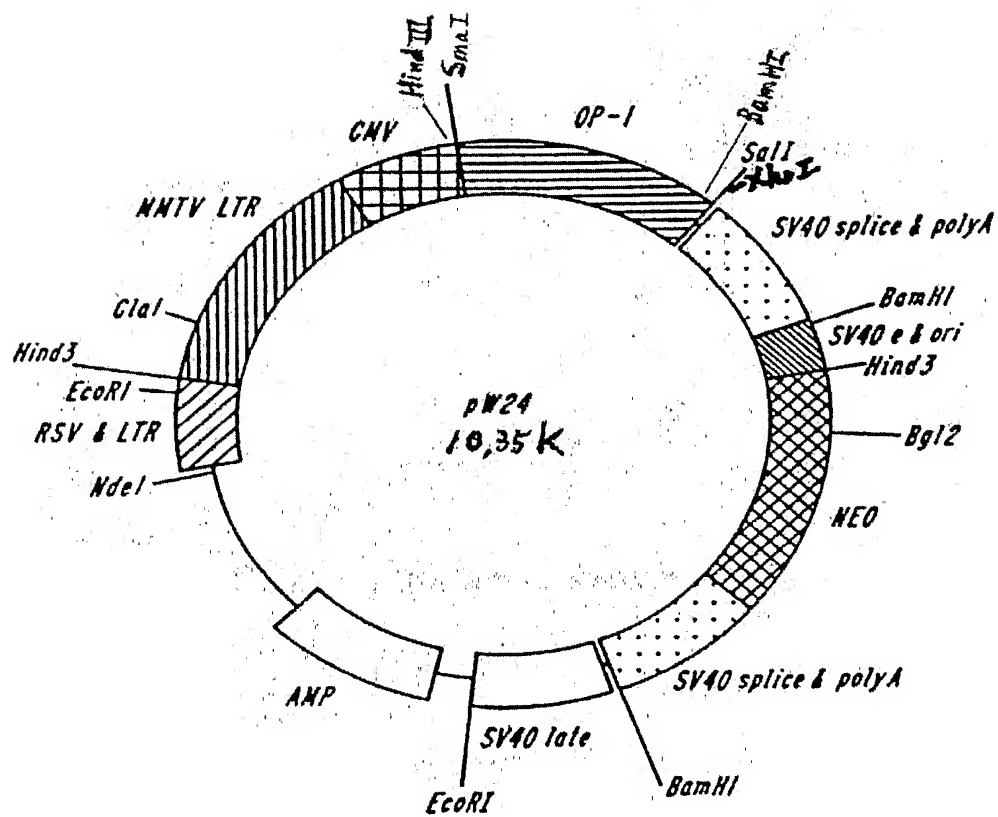
1/2

FIGURE 1

2/2

Figure 2

Plasmid map of pW24 containing OP-1 gene



## SEQUENCE LISTING

&lt;110&gt; Stryker Corporation

&lt;120&gt; THERAPEUTIC METHODS USING SMADs

&lt;130&gt; STK-15 PCT

&lt;140&gt; Not yet assigned

&lt;141&gt; 2005-02-04

&lt;160&gt; 27

&lt;170&gt; PatentIn version 3.2

&lt;210&gt; 1

&lt;211&gt; 431

&lt;212&gt; PRT

&lt;213&gt; Homo sapiens

&lt;400&gt; 1

Met His Val Arg Ser Leu Arg Ala Ala Ala Pro His Ser Phe Val Ala  
 1 5 10 15

Leu Trp Ala Pro Leu Phe Leu Leu Arg Ser Ala Leu Ala Asp Phe Ser  
 20 25 30

Leu Asp Asn Glu Val His Ser Ser Phe Ile His Arg Arg Leu Arg Ser  
 35 40 45

Gln Glu Arg Arg Glu Met Gln Arg Glu Ile Leu Ser Ile Leu Gly Leu  
 50 55 60

Pro His Arg Pro Arg Pro His Leu Gln Gly Lys His Asn Ser Ala Pro  
 65 70 75 80

Met Phe Met Leu Asp Leu Tyr Asn Ala Met Ala Val Glu Glu Gly Gly  
 85 90 95

Gly Pro Gly Gly Gln Gly Phe Ser Tyr Pro Tyr Lys Ala Val Phe Ser  
 100 105 110

Thr Gln Gly Pro Pro Leu Ala Ser Leu Gln Asp Ser His Phe Leu Thr  
 115 120 125

Asp Ala Asp Met Val Met Ser Phe Val Asn Leu Val Glu His Asp Lys  
 130 135 140

Glu Phe Phe His Pro Arg Tyr His His Arg Glu Phe Arg Phe Asp Leu  
 145 150 155 160

Ser Lys Ile Pro Glu Gly Glu Ala Val Thr Ala Ala Glu Phe Arg Ile  
 165 170 175

Tyr Lys Asp Tyr Ile Arg Glu Arg Phe Asp Asn Glu Thr Glu Arg Ile  
 180 185 190

Ser Val Tyr Gln Val Leu Gln Glu His Leu Gly Arg Glu Ser Asp Leu  
 195 200 205

Phe Leu Leu Asp Ser Arg Thr Leu Trp Ala Ser Glu Glu Gly Trp Leu  
 210 215 220

Val Phe Asp Ile Thr Ala Thr Ser Asn His Trp Val Val Asn Pro Arg  
 225 230 235 240

His Asn Leu Gly Leu Gln Leu Ser Val Glu Thr Leu Asp Gly Gln Ser  
 245 250 255

Ile Asn Pro Lys Leu Ala Gly Leu Ile Gly Arg His Gly Pro Gln Asn  
 260 265 270

Lys Gln Pro Phe Met Val Ala Phe Phe Lys Ala Thr Glu Val His Phe  
 275 280 285

Arg Ser Ile Arg Ser Thr Gly Ser Lys Gln Arg Ser Gln Asn Arg Ser  
 290 295 300

Lys Thr Pro Lys Asn Gln Glu Ala Leu Arg Met Ala Asn Val Ala Glu  
 305 310 315 320

Asn Ser Ser Ser Asp Gln Arg Gln Ala Cys Lys Lys His Glu Leu Tyr  
 325 330 335

Val Ser Phe Arg Asp Leu Gly Trp Gln Asp Trp Ile Ile Ala Pro Glu  
 340 345 350

Gly Tyr Ala Ala Tyr Tyr Cys Glu Gly Glu Cys Ala Phe Pro Leu Asn  
 355 360 365

Ser Tyr Met Asn Ala Thr Asn His Ala Ile Val Gln Thr Leu Val His  
 370 375 380

Phe Ile Asn Pro Glu Thr Val Pro Lys Pro Cys Cys Ala Pro Thr Gln  
 385 390 395 400



Leu Asn Ala Ile Ser Val Leu Tyr Phe Asp Asp Ser Ser Asn Val Ile  
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                           20                          25                          30

Leu Ala Asp His Phe Asn Ser Thr Asn His Ala Val Val Gln Thr Leu  
                           35                          40                          45

Val Asn Ser Val Asn Ser Lys Ile Pro Lys Ala Cys Cys Val Pro Thr  
                           50                          55                          60

Glu Leu Ser Ala Ile Ser Met Leu Tyr Leu Asp Glu Asn Glu Lys Val  
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Val Leu Lys Tyr Asn Gln Glu Met Val Val Glu Gly Cys Gly Cys Arg  
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Pro Pro Gly Tyr His Ala Phe Tyr Cys His Gly Glu Cys Pro Phe Pro  
                           20                          25                          30

Leu Ala Asp His Leu Asn Ser Thr Asn His Ala Val Val Gln Thr Leu  
           35                                  40                                  45

Val Asn Ser Val Asn Ser Lys Ile Pro Lys Ala Cys Cys Val Pro Thr  
       50                                  55                                  60

Glu Leu Ser Ala Ile Ser Met Leu Tyr Leu Asp Glu Asn Glu Lys Val  
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 Asp Trp Xaa Ile Ala Pro Xaa Gly Tyr Xaa Ala Tyr Tyr Cys Glu Gly  
                     20                      25                      30  
 Glu Cys Xaa Phe Pro Leu Xaa Ser Xaa Met Asn Ala Thr Asn His Ala  
                     35                      40                      45  
 Ile Xaa Gln Xaa Leu Val His Xaa Xaa Xaa Pro Xaa Xaa Val Pro Lys  
                     50                      55                      60  
 Xaa Cys Cys Ala Pro Thr Xaa Leu Xaa Ala Xaa Ser Val Leu Tyr Xaa  
 65                      70                      75                      80  
 Asp Xaa Ser Xaa Asn Val Xaa Leu Xaa Lys Xaa Arg Asn Met Val Val  
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Pro Xaa Xaa Xaa Xaa Ala Xaa Tyr Cys Xaa Gly Xaa Cys Xaa Xaa Pro
          20          25          30

Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Asn His Ala Xaa Xaa Xaa Xaa Xaa
          35          40          45

Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Cys Cys Xaa Pro
          50          55          60

Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
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Xaa Xaa Xaa Xaa Xaa Pro Xaa Xaa Xaa Xaa Ala Xaa Tyr Cys Xaa Gly  
 20 25 30

Xaa Cys Xaa Xaa Pro Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Asn His Ala  
 35 40 45

Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa  
 50 55 60

Xaa Cys Cys Xaa Pro Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Leu Xaa Xaa  
 65 70 75 80

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 85 90 95

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20 25 30

Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa  
35 40 45

Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Cys Xaa Pro  
50 55 60

Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa  
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Xaa

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 1 5 10 15

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Arg Ser Leu Arg Ala Ala Ala Pro His Ser Phe Val Ala Leu Trp Ala
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Arg Glu Met Gln Arg Glu Ile Leu Ser Ile Leu Gly Leu Pro His Arg
                55                               60                          65

ccg cgc ccg cac ctc cag ggc aag cac aac tcg gca ccc atg ttc atg      297
Pro Arg Pro His Leu Gln Gly Lys His Asn Ser Ala Pro Met Phe Met
                70                               75                          80

ctg gac ctg tac aac gcc atg gcg gtg gag gag ggc ggc ggg ccc ggc      345
Leu Asp Leu Tyr Asn Ala Met Ala Val Glu Glu Gly Gly Gly Pro Gly
   85                               90                          95

ggc cag ggc ttc tcc tac ccc tac aag gcc gtc ttc agt acc cag ggc      393
Gly Gln Gly Phe Ser Tyr Pro Tyr Lys Ala Val Phe Ser Thr Gln Gly
  100                               105                          110                          115

ccc cct ctg gcc agc ctg caa gat agc cat ttc ctc acc gac gcc gac      441
Pro Pro Leu Ala Ser Leu Gln Asp Ser His Phe Leu Thr Asp Ala Asp
                120                               125                          130

atg gtc atg agc ttc gtc aac ctc gtg gaa cat gac aag gaa ttc ttc      489
Met Val Met Ser Phe Val Asn Leu Val Glu His Asp Lys Glu Phe Phe
                135                               140                          145

cac cca cgc tac cac cat cga gag ttc cgg ttt gat ctt tcc aag atc      537
His Pro Arg Tyr His His Arg Glu Phe Arg Phe Asp Leu Ser Lys Ile
                150                               155                          160

cca gaa ggg gaa gct gtc acg gca gcc gaa ttc cgg atc tac aag gac      585
Pro Glu Gly Glu Ala Val Thr Ala Ala Glu Phe Arg Ile Tyr Lys Asp
  165                               170                          175

tac atc cgg gaa cgc ttc gac aat gag acg ttc cgg atc agc gtt tat      633
Tyr Ile Arg Glu Arg Phe Asp Asn Glu Thr Phe Arg Ile Ser Val Tyr
  180                               185                          190                          195

cag gtg ctc cag gag cac ttg ggc agg gaa tcg gat ctc ttc ctg ctc      681
Gln Val Leu Gln Glu His Leu Gly Arg Glu Ser Asp Leu Phe Leu Leu
                200                               205                          210

gac agc cgt acc ctc tgg gcc tcg gag gag ggc tgg ctg gtg ttt gac      729
Asp Ser Arg Thr Leu Trp Ala Ser Glu Glu Gly Trp Leu Val Phe Asp
                215                               220                          225

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Gly	Leu	Gln	Leu	Ser	Val	Glu	Thr	Leu	Asp	Gly	Gln	Ser	Ile	Asn	Pro	
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Lys	Leu	Ala	Gly	Leu	Ile	Gly	Arg	His	Gly	Pro	Gln	Asn	Lys	Gln	Pro	
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ttc	atg	gtg	gct	ttc	ttc	aag	gcc	acg	gag	gtc	cac	ttc	cgc	agc	atc	921
Phe	Met	Val	Ala	Phe	Phe	Lys	Ala	Thr	Glu	Val	His	Phe	Arg	Ser	Ile	
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cgg	tcc	acg	ggg	agc	aaa	cag	cgc	agc	cag	aac	cgc	tcc	aag	acg	ccc	969
Arg	Ser	Thr	Gly	Ser	Lys	Gln	Arg	Ser	Gln	Asn	Arg	Ser	Lys	Thr	Pro	
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aag	aac	cag	gaa	gcc	ctg	cgg	atg	gcc	aac	gtg	gca	gag	aac	agc	agc	1017
Lys	Asn	Gln	Glu	Ala	Leu	Arg	Met	Ala	Asn	Val	Ala	Glu	Asn	Ser	Ser	
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agc	gac	cag	agg	cag	gcc	tgt	aag	aag	cac	gag	ctg	tat	gtc	agc	ttc	1065
Ser	Asp	Gln	Arg	Gln	Ala	Cys	Lys	Lys	His	Glu	Leu	Tyr	Val	Ser	Phe	
	325					330					335					
cga	gac	ctg	ggc	tgg	cag	gac	tgg	atc	atc	gcg	cct	gaa	ggc	tac	gcc	1113
Arg	Asp	Leu	Gly	Trp	Gln	Asp	Trp	Ile	Ile	Ala	Pro	Glu	Gly	Tyr	Ala	
	340				345					350					355	
gcc	tac	tac	tgt	gag	ggg	gag	tgt	gcc	ttc	cct	ctg	aac	tcc	tac	atg	1161
Ala	Tyr	Tyr	Cys	Glu	Gly	Glu	Cys	Ala	Phe	Pro	Leu	Asn	Ser	Tyr	Met	
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aac	gcc	acc	aac	cac	gcc	atc	gtg	cag	acg	ctg	gtc	cac	ttc	atc	aac	1209
Asn	Ala	Thr	Asn	His	Ala	Ile	Val	Gln	Thr	Leu	Val	His	Phe	Ile	Asn	
			375					380					385			
ccg	gaa	acg	gtg	ccc	aag	ccc	tgc	tgt	gcg	ccc	acg	cag	ctc	aat	gcc	1257
Pro	Glu	Thr	Val	Pro	Lys	Pro	Cys	Cys	Ala	Pro	Thr	Gln	Leu	Asn	Ala	
		390					395					400				
atc	tcc	gtc	ctc	tac	ttc	gat	gac	agc	tcc	aac	gtc	atc	ctg	aag	aaa	1305
Ile	Ser	Val	Leu	Tyr	Phe	Asp	Asp	Ser	Ser	Asn	Val	Ile	Leu	Lys	Lys	
	405					410					415					
tac	aga	aac	atg	gtg	gtc	cgg	gcc	tgt	ggc	tgc	cac	tagctcctcc				1351
Tyr	Arg	Asn	Met	Val	Val	Arg	Ala	Cys	Gly	Cys	His					
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 <212> PRT  
 <213> Homo sapiens

<400> 11

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Leu Trp Ala Pro Leu Phe Leu Leu Arg Ser Ala Leu Ala Asp Phe Ser  
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Leu Asp Asn Glu Val His Ser Ser Phe Ile His Arg Arg Leu Arg Ser  
 35 40 45

Gln Glu Arg Arg Glu Met Gln Arg Glu Ile Leu Ser Ile Leu Gly Leu  
 50 55 60

Pro His Arg Pro Arg Pro His Leu Gln Gly Lys His Asn Ser Ala Pro  
 65 70 75 80

Met Phe Met Leu Asp Leu Tyr Asn Ala Met Ala Val Glu Glu Gly Gly  
 85 90 95

Gly Pro Gly Gly Gln Gly Phe Ser Tyr Pro Tyr Lys Ala Val Phe Ser  
 100 105 110

Thr Gln Gly Pro Pro Leu Ala Ser Leu Gln Asp Ser His Phe Leu Thr  
 115 120 125

Asp Ala Asp Met Val Met Ser Phe Val Asn Leu Val Glu His Asp Lys  
 130 135 140

Glu Phe Phe His Pro Arg Tyr His His Arg Glu Phe Arg Phe Asp Leu  
 145 150 155 160

Ser Lys Ile Pro Glu Gly Glu Ala Val Thr Ala Ala Glu Phe Arg Ile  
 165 170 175

Tyr Lys Asp Tyr Ile Arg Glu Arg Phe Asp Asn Glu Thr Phe Arg Ile  
 180 185 190

Ser Val Tyr Gln Val Leu Gln Glu His Leu Gly Arg Glu Ser Asp Leu  
 195 200 205

Phe Leu Leu Asp Ser Arg Thr Leu Trp Ala Ser Glu Glu Gly Trp Leu  
 210 215 220

Val Phe Asp Ile Thr Ala Thr Ser Asn His Trp Val Val Asn Pro Arg  
 225 230 235 240

His Asn Leu Gly Leu Gln Leu Ser Val Glu Thr Leu Asp Gly Gln Ser  
 245 250 255

Ile Asn Pro Lys Leu Ala Gly Leu Ile Gly Arg His Gly Pro Gln Asn  
 260 265 270

Lys Gln Pro Phe Met Val Ala Phe Phe Lys Ala Thr Glu Val His Phe  
 275 280 285

Arg Ser Ile Arg Ser Thr Gly Ser Lys Gln Arg Ser Gln Asn Arg Ser  
 290 295 300

Lys Thr Pro Lys Asn Gln Glu Ala Leu Arg Met Ala Asn Val Ala Glu  
 305 310 315 320

Asn Ser Ser Ser Asp Gln Arg Gln Ala Cys Lys Lys His Glu Leu Tyr  
 325 330 335

Val Ser Phe Arg Asp Leu Gly Trp Gln Asp Trp Ile Ile Ala Pro Glu  
 340 345 350

Gly Tyr Ala Ala Tyr Tyr Cys Glu Gly Glu Cys Ala Phe Pro Leu Asn  
 355 360 365

Ser Tyr Met Asn Ala Thr Asn His Ala Ile Val Gln Thr Leu Val His  
 370 375 380

Phe Ile Asn Pro Glu Thr Val Pro Lys Pro Cys Cys Ala Pro Thr Gln  
 385 390 395 400

Leu Asn Ala Ile Ser Val Leu Tyr Phe Asp Asp Ser Ser Asn Val Ile  
 405 410 415

Leu Lys Lys Tyr Arg Asn Met Val Val Arg Ala Cys Gly Cys His  
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 <211> 3151  
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<210> 13  
<211> 465

&lt;212&gt; PRT

&lt;213&gt; Mus musculus

&lt;400&gt; 13

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20          25          30

Ala Val Asp Ala Leu Val Lys Lys Leu Lys Lys Lys Lys Gly Ala Met
35          40          45

Glu Glu Leu Glu Lys Ala Leu Ser Cys Pro Gly Gln Pro Ser Asn Cys
50          55          60

Val Thr Ile Pro Arg Ser Leu Asp Gly Arg Leu Gln Val Ser His Arg
65          70          75          80

Lys Gly Leu Pro His Val Ile Tyr Cys Arg Val Trp Arg Trp Pro Asp
85          90          95

Leu Gln Ser His His Glu Leu Lys Pro Leu Glu Cys Cys Glu Phe Pro
100         105         110

Phe Gly Ser Lys Gln Lys Glu Val Cys Ile Asn Pro Tyr His Tyr Lys
115         120         125

Arg Val Glu Ser Pro Val Leu Pro Pro Val Leu Val Pro Arg His Ser
130         135         140

Glu Tyr Asn Pro Gln His Ser Leu Leu Ala Gln Phe Arg Asn Leu Gly
145         150         155         160

Gln Asn Glu Pro His Met Pro Leu Asn Ala Thr Phe Pro Asp Ser Phe
165         170         175

Gln Gln Pro Asn Ser His Pro Phe Pro His Ser Pro Asn Ser Ser Tyr
180         185         190

Pro Asn Ser Pro Gly Gly Ser Ser Ser Thr Tyr Pro His Ser Pro Thr
195         200         205

Ser Ser Asp Pro Gly Ser Pro Phe Gln Met Pro Ala Asp Thr Pro Pro
210         215         220

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Pro Ala Tyr Leu Pro Pro Glu Asp Pro Met Ala Gln Asp Gly Ser Gln  
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Pro Met Asp Thr Asn Met Met Ala Pro Pro Leu Pro Ala Glu Ile Ser  
 245 250 255

Arg Gly Asp Val Gln Ala Val Ala Tyr Glu Glu Pro Lys His Trp Cys  
 260 265 270

Ser Ile Val Tyr Tyr Glu Leu Asn Asn Arg Val Gly Glu Ala Phe His  
 275 280 285

Ala Ser Ser Thr Ser Val Leu Val Asp Gly Phe Thr Asp Pro Ser Asn  
 290 295 300

Asn Lys Asn Arg Phe Cys Leu Gly Leu Leu Ser Asn Val Asn Arg Asn  
 305 310 315 320

Ser Thr Ile Glu Asn Thr Arg Arg His Ile Gly Lys Gly Val His Leu  
 325 330 335

Tyr Tyr Val Gly Gly Glu Val Tyr Ala Glu Cys Leu Ser Asp Ser Ser  
 340 345 350

Ile Phe Val Gln Ser Arg Asn Cys Asn Tyr His His Gly Phe His Pro  
 355 360 365

Thr Thr Val Cys Lys Ile Pro Ser Gly Cys Ser Leu Lys Ile Phe Asn  
 370 375 380

Asn Gln Glu Phe Ala Gln Leu Leu Ala Gln Ser Val Asn His Gly Phe  
 385 390 395 400

Glu Thr Val Tyr Glu Leu Thr Lys Met Cys Thr Ile Arg Met Ser Phe  
 405 410 415

Val Lys Gly Trp Gly Ala Glu Tyr His Arg Gln Asp Val Thr Ser Thr  
 420 425 430

Pro Cys Trp Ile Glu Ile His Leu His Gly Pro Leu Gln Trp Leu Asp  
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Lys Val Leu Thr Gln Met Gly Ser Pro His Asn Pro Ile Ser Ser Val  
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Ser  
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<212> DNA  
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<210> 15
<211> 494
<212> PRT
<213> Mus musculus

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<400> 15

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Met Ser Ser Ile Leu Pro Phe Thr Pro Pro Val Val Lys Arg Leu Leu
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Gly Trp Lys Lys Ser Ala Gly Gly Ser Gly Gly Ala Gly Gly Gly Glu
          20           25           30

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Gln Asn Gly Gln Glu Glu Lys Trp Cys Glu Lys Ala Val Lys Ser Leu
          35           40           45

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Val Lys Lys Leu Lys Lys Thr Gly Arg Leu Asp Glu Leu Glu Lys Ala
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Ile Thr Thr Gln Asn Cys Asn Thr Lys Cys Val Thr Ile Pro Ser Thr
65           70           75           80

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Cys Ser Glu Ile Trp Gly Leu Ser Thr Ala Asn Thr Val Asp Gln Trp  
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Asp Thr Thr Gly Leu Tyr Ser Phe Ser Glu Gln Thr Arg Ser Leu Asp  
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Gly Arg Leu Gln Val Ser His Arg Lys Gly Leu Pro His Val Ile Tyr  
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Cys Arg Leu Trp Arg Trp Pro Asp Leu His Ser His His Glu Leu Lys  
                     130                    135                    140

Ala Ile Glu Asn Cys Glu Tyr Ala Phe Asn Leu Lys Lys Asp Glu Val  
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Cys Val Asn Pro Tyr His Tyr Gln Arg Val Glu Thr Pro Val Leu Pro  
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Pro Val Leu Val Pro Arg His Thr Glu Ile Leu Thr Glu Leu Pro Pro  
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Leu Asp Asp Tyr Thr His Ser Ile Pro Glu Asn Thr Asn Phe Pro Ala  
                     195                    200                    205

Gly Ile Glu Pro Gln Ser Asn Tyr Ile Pro Glu Thr Pro Pro Pro Gly  
                     210                    215                    220

Tyr Ile Ser Glu Asp Gly Glu Thr Ser Asp Gln Gln Leu Asn Gln Ser  
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Met Asp Thr Gly Ser Pro Ala Glu Leu Ser Pro Thr Thr Leu Ser Pro  
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Val Asn His Ser Leu Asp Leu Gln Pro Val Thr Tyr Ser Glu Pro Ala  
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Phe Trp Cys Ser Ile Ala Tyr Tyr Glu Leu Asn Gln Arg Val Gly Glu  
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<400> 21

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Lys Ala Val Asp Ala Leu Val Lys Lys Leu Lys Lys Lys Lys Gly Ala
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Met Glu Glu Leu Glu Lys Ala Leu Ser Ser Pro Gly Gln Pro Ser Lys
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Cys Val Thr Ile Pro Arg Ser Leu Asp Gly Arg Leu Gln Val Ser His
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Arg Lys Gly Leu Pro His Val Ile Tyr Cys Arg Val Trp Arg Trp Pro
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Asp Leu Gln Ser His His Glu Leu Lys Pro Leu Asp Ile Cys Glu Phe
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Pro Phe Gly Ser Lys Gln Lys Glu Val Cys Ile Asn Pro Tyr His Tyr

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Leu Ala Gly Ala Ala Leu Glu Pro Ala Gly Gly Gly Arg Ser Arg Glu

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Lys Gly Ala Met Asp Glu Leu Glu Arg Ala Leu Ser Cys Pro Gly Gln
          50           55           60

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Pro Ser Lys Cys Val Thr Ile Pro Arg Ser Leu Asp Gly Arg Leu Gln
65           70           75           80

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Val Ser His Arg Lys Gly Leu Pro His Val Ile Tyr Cys Arg Val Trp
          85           90           95

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Arg Trp Pro Asp Leu Gln Ser His His Glu Leu Lys Pro Leu Glu Cys
          100          105          110

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Cys Glu Phe Pro Phe Gly Ser Lys Gln Lys Glu Val Cys Ile Asn Pro
          115          120          125

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Tyr His Tyr Arg Arg Val Glu Thr Pro Val Leu Pro Pro Val Leu Val
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## INTERNATIONAL SEARCH REPORT

In Application No  
PCT/US2005/003229

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 A61K38/18

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, BIOSIS, EMBASE, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	TAMAKI ET AL: "Intracellular signaling of osteogenic protein-1 through Smad5 activation" JOURNAL OF CELLULAR PHYSIOLOGY, vol. 177, 1998, pages 355-363, XP008048090 * See page 355 (Abstract) *	1-5,7-49
Y	AOKI ET AL: "Synergistic effects of different bone morphogenetic protein type I receptors on alkaline phosphatase induction" JOURNAL OF CELL SCIENCE, vol. 114, 2001, pages 1483-1489, XP002302367 * See pages 1483-1484 (Abstract and Introduction, esp. last sentence), figures 1-5, and pages 1487-1488 (Discussion) *	1-5,7-49

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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance  
 "E" earlier document but published on or after the international filing date  
 "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  
 "O" document referring to an oral disclosure, use, exhibition or other means  
 "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  
 "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  
 "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  
 "&" document member of the same patent family

Date of the actual completion of the international search

7 June 2005

Date of mailing of the international search report

17/06/2005

Name and mailing address of the ISA  
 European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Korsner, S-E

# INTERNATIONAL SEARCH REPORT

Int I Application No  
PCT/US2005/003229

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>YEH ET AL: "Osteogenic protein-1 (OP-1, BMP-7) induces osteoblastic cell differentiation of the pluripotent mesenchymal cell line C2C12"</p> <p>JOURNAL OF CELLULAR BIOCHEMISTRY, vol. 87, 2002, pages 292-304, XP002302368</p> <p>* See pages 300-303 (Discussion) *</p>	1-5,7-49
Y	<p>FORSLUND ET AL: "A comparative dose-response study of cartilage-derived morphogenetic protein (CDMP)-1, -2 and -3 for tendon healing in rats"</p> <p>JOURNAL OF ORTHOPAEDIC RESEARCH, vol. 21, 2003, pages 617-621, XP002330748</p> <p>* See pages 617-618 (Introduction), and pages 619-621 (Discussion) *</p>	1-5,7-49
Y	<p>TAKASE ET AL: "Induction of Smad6 mRNA by bone morphogenetic proteins"</p> <p>BIOCHEMICAL AND BIOPHYSICAL RESEARCH COMMUNICATIONS, vol. 244, 1998, pages 26-29, XP002219536</p> <p>* See page 26 (Abstract) *</p>	1-5,7-49
Y	<p>MACÍAS-SILVA ET AL: "Specific activation of Smad1 signaling pathways by the BMP7 type I receptor, ALK2"</p> <p>THE JOURNAL OF BIOLOGICAL CHEMISTRY, vol. 273, 1998, pages 25628-25636, XP002330481</p> <p>* See page 25630 (right column) - page 25631 (left column) *</p>	1-5,7-49
Y	<p>NISHIDA ET AL: "Osteogenic protein 1 stimulates cell-associated matrix assembly by normal human articular chondrocytes"</p> <p>ARTHRITIS &amp; RHEUMATISM, vol. 43, 2000, pages 206-214, XP002330479</p> <p>* See pages 206-207 (Introduction) *</p>	1-5,7-49
Y	<p>SIMANK ET AL: "Das Wachstumsfaktorkomposit aus GDF-5 und mineralisiertem Kollagen verbessert die Ausheilung einer Hüftkopfnekrose"</p> <p>ORTHOPÄDE, vol. 33, January 2004 (2004-01), pages 68-75, XP002330749</p> <p>* See page 71 (English summary) *</p>	1-5,7-49
Y	<p>US 6 531 445 B1 (COHEN ET AL) 11 March 2003 (2003-03-11)</p> <p>* See columns 2-3 (tissues) and 6-7 (proteins useful) *</p>	1-5,7-49
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## INTERNATIONAL SEARCH REPORT

Inte

I Application No

PCT/US2005/003229

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 03/059932 A (YISSUM RESEARCH DEV. COMP. OF THE HEBREW UNIV. / GBF-GESELLSCHAFT) 24 July 2003 (2003-07-24) * See page 1 (Field of the invention), pages 11 and 21 *	1-5,7-49
P,X	YEH ET AL: "Cartilage-derived morphogenetic proteins enhance the osteogenic protein-1-induced osteoblastic cell differentiation of C2C12 cells" JOURNAL OF CELLULAR PHYSIOLOGY, vol. 201, December 2004 (2004-12), pages 401-408, XP008048134 * See page 401 (Abstract) *	1-5,7-49



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2005/003229

### Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  

Although Claims 1-49 (partially) are directed  
to a method of treatment of the human body,  
the search has been carried out and based  
on the alleged effects of the compounds.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such  
an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all  
searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment  
of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report  
covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is  
restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

Inter Application No  
PCT/US2005/003229

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 6531445	B1	11-03-2003	US 2004102373 A1 27-05-2004
			US 6565843 B1 20-05-2003
			AT 192931 T 15-06-2000
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			DE 69231062 D1 21-06-2000
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			EP 0601106 A1 15-06-1994
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			US 5854071 A 29-12-1998
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			US 2003228292 A1 11-12-2003
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